

1 MARIO N. ALIOTO, ESQ. (56433)
2 LAUREN C. CAPURRO, ESQ. (241151)
3 TRUMP, ALIOTO, TRUMP & PRESCOTT, LLP
4 2001 Union Street, Suite 482
5 San Francisco, CA 94123
Telephone: (415) 563-7200
Facsimile: (415) 346-0679
E-mail: malioto@atp.com
laurenrussell@atp.com

6 | Lead Counsel for the Indirect-Purchaser Plaintiffs

**UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA
OKLAND DIVISION**

11 IN RE CATHODE RAY TUBE (CRT)
12 ANTITRUST LITIGATION) Master File No. 4:07-cv-5944-jst
13 This Document Relates to:) MDL No. 1917
14 Indirect-Purchaser Class Action)
15) **DECLARATION OF GERARD A. DEVER**
16) **IN SUPPORT OF**
17)
18) **INDIRECT PURCHASER PLAINTIFFS'**
19) **NOTICE OF MOTION AND MOTION IN**
20) **LIMINE NO. 11:**
21)
22) **TO EXCLUDE EVIDENCE AND**
23) **ARGUMENT PORTRAYING THE IRICO**
24) **DEFENDANTS' PARTICIPATION IN**
25) **THE CONSPIRACY OR ACTS**
26) **COMMITTED PURSUANT THERETO AS**
27) **COMPELLED BY THE CHINESE**
28) **GOVERNMENT OR CHINESE LAW**
29)
30) Hearing Date: December 15, 2023
31) Time: 2:00 p.m.
32) Courtoom: Courtroom 6 – 2nd Floor
33)
34) The Honorable Jon S. Tigar

1 I, Gerard A. Dever, hereby declare and state as follows:

2 1. I am a member of the law firm Fine, Kaplan and Black, R.P.C., counsel for the
3 Indirect Purchaser Plaintiffs in the above-captioned action currently pending in the U.S. District
4 Court for the Northern District of California. I am a member in good standing of the bar of the
5 Commonwealth of Pennsylvania and I am admitted *pro hac vice* to practice before this Court. I
6 submit this Declaration in support of Plaintiffs' Motion *in Limine* No. 11: to exclude evidence and
7 argument portraying the Irico Defendants' participation in the conspiracy or acts committed
8 pursuant thereto as compelled by the Chinese government or Chinese law.

9 2. Attached hereto as **Exhibit A** is a true and correct copy of IRI-SUPP-000001-005E,
10 a certified English translation of IRI-SUPP-000001-005, which is an email dated February 15,
11 2008, from Joseph Chan of Pillsbury Winthrop Shaw Pittman, LLP ("Pillsbury") to Irico's Legal
12 Counsel Yan Yunlong.

13 3. Attached hereto as **Exhibit B** is a true and correct copy of IRI-SUPP-000012-021E,
14 a certified English translation of IRI-SUPP-000012-021, which is an email dated June 23, 2008
15 from Kevin Xi of Pillsbury to Irico's Yan Yunlong, and attaching a copy of Pretrial Order No. 1,
16 ECF No. 230, as well as a Chinese translation of Paragraph 13 of that Order.

17 4. Attached hereto as **Exhibit C** is a true and correct copy of IRI-SUPP-000023-28E, a
18 certified English translation of IRI-SUPP-000023-28, which is an August 7, 2008 memorandum to
19 Irico regarding evidence preservation and a written litigation hold notice entitled "Information
20 Retention Notice."

21 5. Attached hereto as **Exhibit D** is a true and correct copy of Irico Defendants'
22 Objections and Responses to Indirect Purchaser Plaintiffs' Fourth Set of Interrogatories to Irico
23 Group Corporation and Irico Display Devices Co., Ltd., dated September 1, 2022.

24 I declare under penalty of perjury under the laws of the United States that the foregoing is
25 true and correct.

26 Executed on August 11, 2023, in Philadelphia, Pennsylvania.

1
2
3
4
5
6
7
8
9
10
11
12
13
14
15
16
17
18
19
20
21
22
23
24
25
26
27
28

/s/ Gerard A. Dever

Gerard A. Dever
Fine, Kaplan and Black, R.P.C.
One South Broad Street, 23rd Floor
Philadelphia, PA 19107
Telephone: (215) 567-6565
Facsimile: (215) 568-5872
Email: gdever@finekaplan.com

Counsel for Indirect Purchaser Plaintiffs

EXHIBIT A



January 24, 2023

Certification

Welocalize Translations

TRANSLATOR'S DECLARATION:

I, **Samuel Chong**, hereby declare:

That I possess advanced knowledge of the Chinese and English languages. The attached Chinese into English translation has been translated by me and to the best of my knowledge and belief, it is a true and accurate translation of: P-00000004

(Digital or printed signature here above the line)

A handwritten signature in black ink, appearing to read "Samuel Chong". It is positioned above a solid horizontal line.

Samuel Chong

Project Number: BBLLP_2301_P0001

15 W. 37th Street 4th Floor
New York, NY 10018
212.581.8870

From: Chan, Joseph W.K <joseph.chan@pillsburylaw.com> on behalf of Chan, Joseph W.K
Sent: Friday, February 15, 2008 1:30AM
To: yan
Cc: Secretary Tao; Mr. Gao; Callan, Terrence A; Tiffany, Joseph R; Chan, Joseph W.K
Subject: RE:CRT Litigation

Dear Mr. Yan.

Your February 4 email has been received, thank you. We hope you had a pleasant Spring Festival holiday.

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Finally, it is essential that Irico does not destroy or dispose of any potentially relevant documents, whether in paper, electronic or any other form. We recommend that you issue written instructions to the members of your company to retain all such documents as soon as possible. We would be pleased to advise on appropriate written instructions.

[REDACTED] We very much look forward to working with you on this matter.

Best regards!

Yongjian Chen

Yongjian Chen | Managing Partner
Pillsbury Winthrop Shaw Pittman LLP

Direct. 86.21.6335.1128 | Tel. 86.21.6335.1166
Fax. 86.21.6335.1178 | Cell. 86.13917040385
Room 4305, Bund Center | 222 East Yan'an Road, Shanghai, China | 200002

Email: joseph.chan@pillsburylaw.com
www.pillsburylaw.com

A series of horizontal black bars of varying lengths, likely representing redacted text or data. The bars are arranged vertically and are set against a white background. The lengths of the bars vary significantly, with some being very short and others being quite long, suggesting a list of items or a large block of text that has been obscured for privacy or security reasons.

A horizontal bar chart consisting of 15 black bars of varying lengths. The bars are arranged in two main groups: a top group of 8 bars and a bottom group of 7 bars. The bars in the top group are shorter, while the bars in the bottom group are longer, with the longest bar reaching nearly the full width of the chart area.

The contents of this message, together with any attachments, are intended only for the use of the individual or entity to which they are addressed and may contain information that is legally privileged, confidential and exempt from disclosure. If you are not the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this message, or any attachment, is strictly prohibited. If you have received this message in error, please notify the original sender or the Pillsbury Winthrop Shaw Pittman Help Desk at Tel: 800-477-0770 x4860 immediately by telephone or by return E-mail and delete this message, along with any attachments, from your computer. Thank you.

* Internal Revenue Service regulations generally provide that, for the purpose of avoiding federal tax penalties, a taxpayer may rely only on formal written advice meeting specific requirements. Any tax advice in this message does not meet those requirements. Accordingly, any such tax advice was not intended or written to be used, and it cannot be used, for the purpose of avoiding federal tax penalties that may be imposed on you or for the purpose of promoting, marketing or recommending to another party any tax-related matters.

From: Chan, Joseph W. K <joseph.chan@pillsburylaw.com> on behalf of Chan, Joseph W. K
Sent: Friday, February 15, 2008 1:30 AM
To: yan
Cc: 陶书记; 高总; Callan, Terrence A; Tiffany, Joseph R; Chan, Joseph W. K
Subject: RE: CRT诉讼

尊敬的闫先生：

您2月4日邮件已收悉，谢谢。希望您度过了愉快的春节假期。

最后，Irico请勿损毁或处置任何书面、电子或任何形式的潜在相关文件，这点十分必要。我们建议贵方尽快向公司成员发出保留所有该等文件的书面指示。我们将非常乐意就适当的书面指示提出建议。

我们非常期待就此事项与贵方合作。

顺颂商祺！

陈永坚

陈永坚 | 主管合伙人
美国必百瑞律师事务所

直线. 86.21.6335.1128 | 电话. 86.21.6335.1166
传真. 86.21.6335.1178 | 手机. 86.13917040385
外滩中心4305室 | 中国上海延安东路222 | 200002

电子邮件: joseph.chan@pillsburylaw.com
www.pillsburylaw.com

A series of 20 horizontal black bars of varying lengths and positions, arranged in a grid-like pattern. The bars are positioned in a staggered, non-overlapping manner across the frame. Some bars are very short, while others are quite long, creating a dynamic visual texture. The pattern is roughly rectangular, with the bars filling most of the vertical space and being distributed across the horizontal area.

A collection of black and white abstract shapes, including rectangles of various sizes and a prominent horizontal bar.

The contents of this message, together with any attachments, are intended only for the use of the individual or entity to which they are addressed and may contain information that is legally privileged, confidential and exempt from disclosure. If you are not the intended recipient, you are hereby notified that any dissemination, distribution, or copying of this message, or any attachment, is strictly prohibited. If you have received this message in error, please notify the original sender or the Pillsbury Winthrop Shaw Pittman Help Desk at Tel: 800-477-0770 x4860 immediately by telephone or by return E-mail and delete this message, along with any attachments, from your computer. Thank you.

* Internal Revenue Service regulations generally provide that, for the purpose of avoiding federal tax penalties, a taxpayer may rely only on formal written advice meeting specific requirements. Any tax advice in this message does not meet those requirements. Accordingly, any such tax advice was not intended or written to be used, and it cannot be used, for the purpose of avoiding federal tax penalties that may be imposed on you or for the purpose of promoting, marketing or recommending to another party any tax-related matters.

EXHIBIT B



January 24, 2023

Certification

Welocalize Translations

TRANSLATOR'S DECLARATION:

I, **Samuel Chong**, hereby declare:

That I possess advanced knowledge of the Chinese and English languages. The attached Chinese into English translation has been translated by me and to the best of my knowledge and belief, it is a true and accurate translation of: P-00000006

(Digital or printed signature here above the line)

A handwritten signature in black ink, appearing to read "Samuel Chong". It is written in a cursive, fluid style.

Samuel Chong

Project Number: **BBLLP_2301_P0001**

15 W. 37th Street 4th Floor
New York, NY 10018
212.581.8870

[REDACTED]

[REDACTED]

[REDACTED]

[REDACTED]

Regards!

Best wishes!

Kevin Xi | PRC Legal Consultant
Pillsbury Winthrop Shaw Pittman LLP

Tel: 86.21.6335.1108 | Fax: 86.21.6335.1178
Suite 4305, Bund Center 222 Yan An Road East | Shanghai 2000002
Email: kevin.xi@pillsburylaw.com
www.pillsburylaw.com

1
2
3
4

UNITED STATES DISTRICT COURT
NORTHERN DISTRICT OF CALIFORNIA

4 On April 4, 2008, the Court conducted a status conference in
5 this multidistrict litigation ("MDL") proceeding. After
6 considering the materials submitted by the parties at the
7 conference and good cause appearing, the Court hereby establishes
8 the following pretrial procedures.

19 PRACTICE AND PROCEDURE ORDER UPON TRANSFER PURSUANT TO §
1407(a) (REVISED)

21 1. This order shall govern the practice and procedure in
22 those actions transferred to this Court by the Judicial Panel on
23 Multidistrict Litigation (MDL Panel) pursuant to its order of
24 February 15, 2008, as well as all related actions originally filed
25 in this Court or transferred or removed to this Court. This order
26 shall also govern the practice and procedure in any tag-along
27 actions transferred to this Court by the MDL Panel pursuant to
28 Rule 7.4 of the Rules of Procedure of the MDL Panel subsequent to

1 the filing of the final transfer order by the Clerk of this Court
2 and any related actions subsequently filed, transferred or removed
3 to this Court.

4 2. The actions described in paragraph 1 of this Order are
5 consolidated for pretrial purposes.

6 **ESTABLISHMENT OF MASTER DOCKET AND FILE**

7 3. The files of all direct purchaser actions and indirect
8 purchaser actions shall be maintained in the master file, Case No.
9 C-07-5944 SC MDL No. 1917. Every pleading filed in direct
10 purchaser actions and indirect purchaser actions shall bear the
11 above caption. When a pleading or paper is intended to be
12 applicable to all actions, the words "All Actions" shall appear
13 immediately after the words "This Document Relates to:" in the
14 caption above. When a pleading or paper is intended to be
15 applicable only to all direct purchaser actions, the words "All
16 Direct Purchaser Actions" shall appear in the caption. When a
17 pleading or paper is intended to be applicable only to all
18 indirect purchaser actions, the words "All Indirect Purchaser
19 Actions" shall appear in the caption.

20 4. All pleadings and submissions in these actions shall be
21 e-filed both in the master docket and in the individual case
22 docket(s) of any individual case(s) to which the submission
23 pertains. All submissions filed in these actions shall bear the
24 identification "Case No. C-07-5944 SC MDL No. 1917," and when such
25 a submission relates to all of these actions, following "Case No.
26 C-07-5944 SC MDL No. 1917," shall be the notation "ALL CASES." If
27 a submission does not relate to all of these actions, the docket
28

1 number of the individual action or actions assigned by the Clerk
2 of the Court shall follow "Case No. C-07-5944 SC MDL No. 1917."
3 The chambers copy of each document e-filed in these cases must
4 clearly indicate the docket number assigned by the electronic case
5 filing system to each such document.

6 **APPEARANCES**

7 5. Counsel who have not yet entered an appearance shall
8 electronically file a Notice of Appearance in the master docket
9 and in the individual case docket(s) of any individual case(s).
10 Counsel who appeared in a transferor court prior to their case
11 being transferred to this Court need not enter an additional
12 appearance before this Court.

13 6. Attorneys admitted to practice and in good standing in
14 any United States District Court are admitted pro hac vice in this
15 litigation. Pursuant to Rule 1.4 of the Rules of Procedure of the
16 Judicial Panel on Multidistrict Litigation, association of local
17 counsel is not required.

18 **COMMUNICATIONS WITH THE COURT AND COUNSEL**

19 7. Unless otherwise ordered by the Court, all substantive
20 communications with the Court shall be e-filed.

21 8. The Court recognizes that cooperation by and among
22 plaintiffs' counsel and by and among defendants' counsel is
23 essential for the orderly and expeditious resolution of this
24 litigation. The communication of information among and between
25 plaintiffs' counsel and among and between defendants' counsel
26 shall not be deemed a waiver of the attorney-client privilege or
27 the protection afforded attorney work-product. Nothing contained

1 in this provision shall be construed to limit the rights of any
2 party or counsel to assert the attorney-client privilege or
3 attorney work-product doctrine.

4 **FILING AND SERVICE OF PAPERS AND PLEADINGS**

5 9. These cases are subject to Electronic Case Filing
6 ("ECF"), pursuant to General Order 45, Section VI, which requires
7 that all documents in such a case be filed electronically.
8 General Order, Section IV(A) provides that "[e]ach attorney of
9 record is obligated to become an ECF User and be assigned a user
10 ID and password for access to the system upon designation of the
11 action as being subject to ECF." If he or she has not already done
12 so, counsel shall register forthwith as an ECF User and be issued
13 an ECF User ID and password. Forms and instructions can be found
14 on the Court's website at
15 <https://ecf.cand.uscourts.gov/cand/index.html>. All documents can
16 be e-filed in the master file, Case No. C-07-5944 SC MDL No. 1917.

17 10. Papers that are filed electronically through the Court's
18 ECF system are deemed served on all parties as of the date of
19 filing. All other service of papers shall be governed by the
20 Federal Rules of Civil Procedure unless otherwise agreed by the
21 parties.

22 11. Permission to file briefs in excess of the page limits
23 set forth in Rule 7 of the Local Rules will not be routinely
24 granted in these cases. Stipulations allowing oversized briefs
25 will not be approved unless submitted at least five (5) court days
26 before the first brief addressed in the stipulation is due.

27 12. All parties are to make best efforts to resolve
28

1 scheduling and other procedural issues by conferring with opposing
2 counsel in the case(s) before contacting the court.

3 **EVIDENCE PRESERVATION**

4 13. All parties and their counsel are reminded of their duty
5 to preserve evidence that may be relevant to this action. The
6 duty extends to documents, data and tangible things in the
7 possession, custody and control of the parties to this action, and
8 any employees, agents, contractors, carriers, bailees, or other
9 non-parties who possess materials reasonably anticipated to be
10 subject to discovery in this action. "Documents, data, and
11 tangible things" shall be interpreted broadly to include writings,
12 records, files, correspondence, reports, memoranda, calendars,
13 diaries, minutes, electronic messages, voicemail, e-mail,
14 telephone message records or logs, computer and network activity
15 logs, hard drives, backup data, removable computer storage media
16 such as tapes, discs and cards, printouts, document image files,
17 Web pages, databases, spreadsheets, software, books, ledgers,
18 journals, orders, invoices, bills, vouchers, check statements,
19 worksheets, summaries, compilations, computations, charts,
20 diagrams, graphic presentations, drawings, films, charts, digital
21 or chemical process photographs, video, phonographic, tape or
22 digital recordings or transcripts thereof, drafts, jottings and
23 notes, studies or drafts of studies or other similar such
24 material. Information that serves to identify, locate or link
25 such material, such as file inventories, file folders, indices,
26 and metadata, is also included in this definition. Until the
27 parties reach an agreement on a preservation plan or the Court
28

1 orders otherwise, each party shall take reasonable steps to
2 preserve all documents, data, and tangible things containing
3 information potentially relevant to the subject matter of this
4 litigation. In addition, counsel shall exercise all reasonable
5 efforts to identify and notify parties and non-parties of their
6 duties, including employees of corporate or institutional parties,
7 to the extent required by the Federal Rules of Civil Procedure.

8 **PROTECTIVE ORDER**

9 14. The parties shall meet and confer regarding a protective
10 order for this proceeding. Within 30 days of the entry of an
11 Order appointing interim lead class counsel, the parties shall
12 present a stipulated protective order, or in the event a
13 stipulation cannot be reached, their respective proposals.

14 **DISCLOSURES**

15 15. Within 30 days of the entry of this Order, the parties
16 shall complete a Rule 26(f) conference and shall make initial
17 disclosures within 14 days thereafter.

18 **ALTERNATIVE DISPUTE RESOLUTION**

19 16. Within 30 days of the entry of an Order appointing
20 interim lead class counsel, the parties shall discuss the
21 selection of an alternative dispute resolution process.

22 **FURTHER CASE MANAGEMENT CONFERENCE**

23 17. The Court shall conduct a Status Conference on July 11,
24 2008 at 10:00 A.M. The parties shall electronically file a Joint
25 Case Management Statement ten (10) court days prior thereto.

26 ///

27 ///

28

1 **APPLICABILITY OF ORDER**

2 18. This Order shall apply to all actions subsequently filed
3 in, or transferred to, this district that are related to this MDL
4 proceeding. Any party objecting to the application of this Order
5 to a subsequently filed or transferred case shall file a motion
6 for relief supported by good cause within 30 days of the case
7 being added to the master docket.

8

9 DATED: April 4, 2008

10
11 
12

13 UNITED STATES DISTRICT JUDGE

Article 13 Preservation of Evidence

The parties to the litigation and their agents were informed of their duty to preserve evidence that may be relevant to this case. This liability extends to documents, data and tangible things in the possession, custody or control of the parties to this action and any employee, agent, contractor, carrier or custodian, or other outsider in possession of material reasonably expected to be within the scope of the factual inquiry in this case. "Documents, data and tangible things" shall be interpreted broadly to include handwriting, records, archives, newsletters, reports, memos, calendars, diaries, minutes, electronic messages, voice mail, e-mail, telephone message records or logs, computer and network activity logs, hardware drives, data backups, removable computer storage media such as tapes, disks and cards, printouts, image files of text, Web pages, databases, spreadsheets, software, books, ledgers, ledger books, orders, invoices, bills, vouchers, check statements, worksheets, summaries, compilations, calculations, diagrams, charts, diagrams, drawings, films, sketches, digital or chemically manipulated photographs, videos, tape or digitally recorded recordings or copies thereof, drafts, notes or notebooks, papers or draft papers, or other similar materials. Any information for the purpose of discovering, locating, or linking the above material, such as file lists, folders, directories, and metadata, is also included in this definition. Unless the parties agree on a preservation plan or the court orders otherwise, each party shall take reasonable steps to preserve all documents, data, and tangible objects containing information that may be relevant to the subject matter of the case. In addition, the agent shall make reasonable efforts to determine and inform the parties and outsiders, including employees of the company or entity, of their relevant responsibilities, to the extent required by federal civil procedure law.

From: yan <yanyunlong@ch.com.cn> on behalf of yan
Sent: Monday, June 23, 2008 4:35 AM
To: 陶书记; 刘麦海; 梁援; 申小琳; 高总
Subject: Fw: (瑞星提示-此邮件可能是垃圾邮件)CRT诉讼进展情况

----- Original Message -----

From: [Xi, Kevin](#)
To: [yan](#)
Cc: [Chan, Joseph W. K.](#)
Sent: Monday, June 23, 2008 4:16 PM
Subject: (瑞星提示-此邮件可能是垃圾邮件)CRT诉讼进展情况

闫律师，

您好！

Term	Percentage
GMOs	85%
Organic	92%
Natural	88%
Artificial	72%
Organic	90%
Natural	87%
Artificial	75%
Organic	89%
Natural	86%
Artificial	73%
Organic	91%
Natural	89%
Artificial	74%
Organic	93%
Natural	90%
Artificial	76%

5. 法院在2008年4月4日发布了首个预审令，其中第13条对彩虹最为关键，其规定了法庭对证据保全的要求（包括电子形式的信息）。正如我们与彩虹所讨论的，这是一项极为重要的法定要求。若一些潜在的相关信息丢失或毁损，这会对彩虹产生非常严重的后果。这里附上预审令供您查阅。同时我们也附上第13条的中文翻译，供您参考。

[REDACTED]

[REDACTED]

[REDACTED]

顺颂

百务顺遂！

Kevin Xi | PRC Legal Consultant
Pillsbury Winthrop Shaw Pittman LLP

Tel: 86.21.6335.1108 | Fax: 86.21.6335.1178
Suite 4305, Bund Center 222 Yan An Road East | Shanghai 2000002
Email: kevin.xi@pillsburylaw.com
www.pillsburylaw.com

1
2
3
4

5 UNITED STATES DISTRICT COURT
6 NORTHERN DISTRICT OF CALIFORNIA

7 In Re: Cathode Ray Tube (CRT)) Case No. 07-5944 SC
8 Antitrust Litigation) MDL No. 1917
9 _____) PRETRIAL ORDER NO. 1
10 This Document Relates to:)
11 ALL ACTIONS)
12 _____)

13

14 On April 4, 2008, the Court conducted a status conference in
15 this multidistrict litigation ("MDL") proceeding. After
16 considering the materials submitted by the parties at the
17 conference and good cause appearing, the Court hereby establishes
18 the following pretrial procedures.

19 **PRACTICE AND PROCEDURE ORDER UPON TRANSFER PURSUANT TO §
20 1407(a) (REVISED)**

21 1. This order shall govern the practice and procedure in
22 those actions transferred to this Court by the Judicial Panel on
23 Multidistrict Litigation (MDL Panel) pursuant to its order of
24 February 15, 2008, as well as all related actions originally filed
25 in this Court or transferred or removed to this Court. This order
26 shall also govern the practice and procedure in any tag-along
27 actions transferred to this Court by the MDL Panel pursuant to
28 Rule 7.4 of the Rules of Procedure of the MDL Panel subsequent to

1 the filing of the final transfer order by the Clerk of this Court
2 and any related actions subsequently filed, transferred or removed
3 to this Court.

4 2. The actions described in paragraph 1 of this Order are
5 consolidated for pretrial purposes.

6 **ESTABLISHMENT OF MASTER DOCKET AND FILE**

7 3. The files of all direct purchaser actions and indirect
8 purchaser actions shall be maintained in the master file, Case No.
9 C-07-5944 SC MDL No. 1917. Every pleading filed in direct
10 purchaser actions and indirect purchaser actions shall bear the
11 above caption. When a pleading or paper is intended to be
12 applicable to all actions, the words "All Actions" shall appear
13 immediately after the words "This Document Relates to:" in the
14 caption above. When a pleading or paper is intended to be
15 applicable only to all direct purchaser actions, the words "All
16 Direct Purchaser Actions" shall appear in the caption. When a
17 pleading or paper is intended to be applicable only to all
18 indirect purchaser actions, the words "All Indirect Purchaser
19 Actions" shall appear in the caption.

20 4. All pleadings and submissions in these actions shall be
21 e-filed both in the master docket and in the individual case
22 docket(s) of any individual case(s) to which the submission
23 pertains. All submissions filed in these actions shall bear the
24 identification "Case No. C-07-5944 SC MDL No. 1917," and when such
25 a submission relates to all of these actions, following "Case No.
26 C-07-5944 SC MDL No. 1917," shall be the notation "ALL CASES." If
27 a submission does not relate to all of these actions, the docket
28

1 number of the individual action or actions assigned by the Clerk
2 of the Court shall follow "Case No. C-07-5944 SC MDL No. 1917."
3 The chambers copy of each document e-filed in these cases must
4 clearly indicate the docket number assigned by the electronic case
5 filing system to each such document.

6 **APPEARANCES**

7 5. Counsel who have not yet entered an appearance shall
8 electronically file a Notice of Appearance in the master docket
9 and in the individual case docket(s) of any individual case(s).
10 Counsel who appeared in a transferor court prior to their case
11 being transferred to this Court need not enter an additional
12 appearance before this Court.

13 6. Attorneys admitted to practice and in good standing in
14 any United States District Court are admitted pro hac vice in this
15 litigation. Pursuant to Rule 1.4 of the Rules of Procedure of the
16 Judicial Panel on Multidistrict Litigation, association of local
17 counsel is not required.

18 **COMMUNICATIONS WITH THE COURT AND COUNSEL**

19 7. Unless otherwise ordered by the Court, all substantive
20 communications with the Court shall be e-filed.

21 8. The Court recognizes that cooperation by and among
22 plaintiffs' counsel and by and among defendants' counsel is
23 essential for the orderly and expeditious resolution of this
24 litigation. The communication of information among and between
25 plaintiffs' counsel and among and between defendants' counsel
26 shall not be deemed a waiver of the attorney-client privilege or
27 the protection afforded attorney work-product. Nothing contained

1 in this provision shall be construed to limit the rights of any
2 party or counsel to assert the attorney-client privilege or
3 attorney work-product doctrine.

4 **FILING AND SERVICE OF PAPERS AND PLEADINGS**

5 9. These cases are subject to Electronic Case Filing
6 ("ECF"), pursuant to General Order 45, Section VI, which requires
7 that all documents in such a case be filed electronically.
8 General Order, Section IV(A) provides that "[e]ach attorney of
9 record is obligated to become an ECF User and be assigned a user
10 ID and password for access to the system upon designation of the
11 action as being subject to ECF." If he or she has not already done
12 so, counsel shall register forthwith as an ECF User and be issued
13 an ECF User ID and password. Forms and instructions can be found
14 on the Court's website at
15 <https://ecf.cand.uscourts.gov/cand/index.html>. All documents can
16 be e-filed in the master file, Case No. C-07-5944 SC MDL No. 1917.

17 10. Papers that are filed electronically through the Court's
18 ECF system are deemed served on all parties as of the date of
19 filing. All other service of papers shall be governed by the
20 Federal Rules of Civil Procedure unless otherwise agreed by the
21 parties.

22 11. Permission to file briefs in excess of the page limits
23 set forth in Rule 7 of the Local Rules will not be routinely
24 granted in these cases. Stipulations allowing oversized briefs
25 will not be approved unless submitted at least five (5) court days
26 before the first brief addressed in the stipulation is due.

27 12. All parties are to make best efforts to resolve

28

1 scheduling and other procedural issues by conferring with opposing
2 counsel in the case(s) before contacting the court.

3 **EVIDENCE PRESERVATION**

4 13. All parties and their counsel are reminded of their duty
5 to preserve evidence that may be relevant to this action. The
6 duty extends to documents, data and tangible things in the
7 possession, custody and control of the parties to this action, and
8 any employees, agents, contractors, carriers, bailees, or other
9 non-parties who possess materials reasonably anticipated to be
10 subject to discovery in this action. "Documents, data, and
11 tangible things" shall be interpreted broadly to include writings,
12 records, files, correspondence, reports, memoranda, calendars,
13 diaries, minutes, electronic messages, voicemail, e-mail,
14 telephone message records or logs, computer and network activity
15 logs, hard drives, backup data, removable computer storage media
16 such as tapes, discs and cards, printouts, document image files,
17 Web pages, databases, spreadsheets, software, books, ledgers,
18 journals, orders, invoices, bills, vouchers, check statements,
19 worksheets, summaries, compilations, computations, charts,
20 diagrams, graphic presentations, drawings, films, charts, digital
21 or chemical process photographs, video, phonographic, tape or
22 digital recordings or transcripts thereof, drafts, jottings and
23 notes, studies or drafts of studies or other similar such
24 material. Information that serves to identify, locate or link
25 such material, such as file inventories, file folders, indices,
26 and metadata, is also included in this definition. Until the
27 parties reach an agreement on a preservation plan or the Court
28

1 orders otherwise, each party shall take reasonable steps to
2 preserve all documents, data, and tangible things containing
3 information potentially relevant to the subject matter of this
4 litigation. In addition, counsel shall exercise all reasonable
5 efforts to identify and notify parties and non-parties of their
6 duties, including employees of corporate or institutional parties,
7 to the extent required by the Federal Rules of Civil Procedure.

8 **PROTECTIVE ORDER**

9 14. The parties shall meet and confer regarding a protective
10 order for this proceeding. Within 30 days of the entry of an
11 Order appointing interim lead class counsel, the parties shall
12 present a stipulated protective order, or in the event a
13 stipulation cannot be reached, their respective proposals.

14 **DISCLOSURES**

15 15. Within 30 days of the entry of this Order, the parties
16 shall complete a Rule 26(f) conference and shall make initial
17 disclosures within 14 days thereafter.

18 **ALTERNATIVE DISPUTE RESOLUTION**

19 16. Within 30 days of the entry of an Order appointing
20 interim lead class counsel, the parties shall discuss the
21 selection of an alternative dispute resolution process.

22 **FURTHER CASE MANAGEMENT CONFERENCE**

23 17. The Court shall conduct a Status Conference on July 11,
24 2008 at 10:00 A.M. The parties shall electronically file a Joint
25 Case Management Statement ten (10) court days prior thereto.

26 ///

27 ///

28

1 **APPLICABILITY OF ORDER**

2 18. This Order shall apply to all actions subsequently filed
3 in, or transferred to, this district that are related to this MDL
4 proceeding. Any party objecting to the application of this Order
5 to a subsequently filed or transferred case shall file a motion
6 for relief supported by good cause within 30 days of the case
7 being added to the master docket.

8

9 DATED: April 4, 2008

10 
11

12 UNITED STATES DISTRICT JUDGE

第 13 条 证据保全

诉讼各方及其代理人被告知其保全证据之责任可能与本案有关。该责任及于本案中诉讼各方以及任何雇员、代理、订约人、运送人或者保管人或者其他占有经过合理预期属于本案事实调查范围之材料的案外人所占有、保管或控制的文件、数据和有形物。“文件、数据和有形物”应被扩大解释为包括手迹、记录、存档、通讯、报告、备忘录、日历、日记、纪要、电子讯息、语音邮件、电子邮件、电话留言记录或日志、计算机和网络活动日志、硬件驱动器、数据备份、可移除的计算机存储介质例如磁带、盘片和卡，打印输出、文本的图像文件、网页、数据库、电子表格、软件、书、分类帐、分录簿、订单、发票、帐单、凭证、支票对帐单、工作表、摘要、编撰、计算、示意图、图表、图示、图画、胶片、草图、数字或化学处理的照片、视频、磁带或者数字记录的录音或其副本、草稿、便条或笔记、论文或者论文草稿或其他类似材料。为了发现、定位或者联系上述材料的任何信息，例如文件清单、文件夹、目录和元数据，也包括在此定义中。除非各方就保全计划达成协议或者法庭另有命令，每一方均应当采取合理措施保全含有和本案的标的可能有关系的所有文件、数据和有形物。此外，代理人应当在联邦民事程序法要求的范围内，尽合理努力确定并告知各方和案外人，包括公司或单位之雇员其相关责任。

EXHIBIT C



February 22, 2023

Certification

WeLocalize Translations

TRANSLATOR'S DECLARATION:

I, **Samuel Chong**, hereby declare:

That I possess advanced knowledge of the Chinese and English languages. The attached Chinese into English translation has been translated by me and to the best of my knowledge and belief, it is a true and accurate translation of: P-00000008

(Digital or printed signature here above the line)

A handwritten signature in black ink, appearing to read "Samuel Chong", is written over a horizontal line.

Samuel Chong

Project Number: BBLLP_2301_P0001

15 W. 37th Street 4th Floor
New York, NY 10018
212.581.8870



Pillsbury Winthrop Shaw Pittman LLP
2475 Hanover Street | Palo Alto, CA 94304-1114 | tel 650.233.4500 | fax 650.233.4545

Memorandum

To: Mr. Yunlong Yan

From: Joseph R. Tiffany II

Cc: Terrence A. Callan
Philip A. Simpkins

Sent: August 7, 2008

C/M#: 043250-0000001

Subject: CRT Antitrust Litigation - Notice of Information Retention

Based on our prior communications and the Court Order on Preservation of Evidence (see Attachment I), we believe that Irico Group Corporation and Irico Display Devices Co., Ltd. (collectively, "Irico") have notified the appropriate Irico personnel regarding the retention of information potentially relevant to the subject matter of the CRT Antitrust Litigation at issue. The purpose of this memorandum is to advise Irico to distribute forms and documents to employees in addition to the notifications already previously made, which are intended to remind Irico personnel of the importance of complying with U.S. law requiring the preservation of potentially relevant information.

The "Notice of Information Retention" (the "Notice") in Annex II shall be distributed to all Irico personnel who may reasonably obtain any information and documents described in the Notice. In identifying the specific Irico personnel who should receive this notice, you should start with the "persons most knowledgeable" that we asked you to identify in our July 14, 2008, memorandum entitled "Request for Information Regarding the CRT Antitrust Litigation." In addition to the "persons most knowledgeable" described above, this notice shall be distributed to any employee (including directors, officers, executives, managers and other employees) who may reasonably have documents or electronic information relating to any of the subjects identified in the notice. Finally, this notice shall also be distributed to the appropriate Irico personnel responsible for information technology and records management. The above individuals in charge should be aware of the requirements described in this notice and should consider what steps to take to avoid the loss of any potentially relevant information in the course of the CRT antitrust litigation. The retention of all potentially relevant documents is extremely important, and we recommend that you provide this notice to an employee if you are in doubt as to whether he or she may have potentially relevant documents. Please contact us if you have any questions or would like to discuss who may have custody of potentially relevant documents.

For your convenience, we have created documents in the same format for distribution to Irico Group Corporation and Irico Display Devices Co., Ltd. employees. You may also amend this notice into two different document formats to be sent to the personnel of each of the two Irico companies as defendants if you wish to do so. You will find a space on the last page of this notice that requires each Irico employee receiving the notice to fill in his or her signature and title. By signing this notice, each employee acknowledges that he or she has read and will comply with this notice. The purpose of requiring employee signatures is to emphasize to employees the importance of complying with this notice and to provide written evidence that Irico has taken appropriate steps to comply with its information retention obligations in the proceeding. One copy of this notice is for each employee to keep and another copy is for the employee to sign and return. Please also provide us with a copy of the employee's signed notice, and we recommend that Irico retain a copy as well. We recommend that you create a list of all employees who received this notice so that you can identify each employee who received it. This list may also be used to

periodically remind each employee of his or her obligation to maintain documents and information, and may be updated to include new employees who will join Irico in the future and who will also receive this notice. Please send us this list for our records.

As mentioned above, this notice identifies the specific types of information that we believe we need to preserve at this stage. As the litigation progresses and we learn more about Irico's business, we may notify you of additional types of information that need to be retained. Subsequent developments in the litigation (including subsequent court decisions) will also result in certain types of information described in the notice no longer being required to be retained. However, please be sure to consult with us when you take any steps to release any of the types of information listed in the notice from retention.

We are still awaiting a response from Irico to the initial request for information we sent to you on July 22, 2008. Your response will provide us with the necessary information to help us determine if additional measures are needed for information retention. In addition, we have stated that it is important for us to obtain this information in order to try to convince plaintiff's counsel to remove Irico from the lawsuit. We hope to receive this information from you as soon as possible.

Please contact us if you have any questions regarding this Notice or any other aspect of the CRT antitrust litigation.

Annex I

Preservation of Evidence

The parties to the litigation and their agents were informed of their duty to preserve evidence that may be relevant to this case. This liability extends to documents, data and tangible things in the possession, custody or control of the parties to this action and any employee, agent, contractor, carrier or custodian, or other outsider in possession of material reasonably expected to be within the scope of the factual inquiry in this case. "Documents, data and tangible things" shall be interpreted broadly to include handwriting, records, archives, newsletters, reports, memos, calendars, diaries, minutes, electronic messages, voice mail, e-mail, telephone message records or logs, computer and network activity logs, hardware drives, data backups, removable computer storage media such as tapes, disks and cards, printouts, image files of text, Web pages, databases, spreadsheets, software, books, ledgers, ledger books, orders, invoices, bills, vouchers, check statements, worksheets, summaries, compilations, calculations, diagrams, charts, diagrams, drawings, films, sketches, digital or chemically manipulated photographs, videos, tape or digitally recorded recordings or copies thereof, drafts, notes or notebooks, papers or draft papers, or other similar materials. Any information for the purpose of discovering, locating, or linking the above material, such as file lists, folders, directories, and metadata, is also included in this definition. Unless the parties agree on a preservation plan or the court orders otherwise, each party shall take reasonable steps to preserve all documents, data, and tangible objects containing information that may be relevant to the subject matter of the case. In addition, the agent shall make reasonable efforts to determine and inform the parties and outsiders, including employees of the company or entity, of their relevant responsibilities, to the extent required by federal civil procedure law.

Annex II

Information Retention Notice

As you may already know, Irico Group Corporation and Irico Display Devices Co., Ltd. ("Irico") have been sued in the United States in connection with their sales of CRTs and products containing CRT ("CRT Products"). This lawsuit is known as the CRT Antitrust Lawsuit. Plaintiffs claim that Irico and several other companies that sell CRT and CRT products conspired to artificially inflate the prices of CRT and CRT products sold in the U.S. Irico denies that it engaged in any unlawful conduct and believes it will prevail in its case.

Irico, as a defendant in the CRT antitrust litigation, must ensure that all potentially relevant information regarding Irico's CRT business is retained. This Information Retention Notice is intended to confirm and emphasize our prior instructions regarding record retention.

All documents and information (in physical and electronic form) described below must be retained until the termination of this Information Retention Notice. This Information Retention Notice applies to information and documents stored in personal offices, shared file cabinets, and outside locations. It also includes digital or electronic information retained in all locations (including desktop computers, laptops, home computers, personal digital assistants, server files, removable devices, cell phones, etc.). Please read the attached instructions for retaining electronic information. If you have any questions or problems with compliance instructions, please contact [insert name and contact information of the Irico employee answering the question].

Any information covered by this Information Retention Notice shall not be destroyed. Irico's normal retention/destruction procedures for such information will be discontinued. **Destruction of any document or information subject to this Information Retention Notice is strictly prohibited.**

In order to clarify the information and documents that you must keep, we are providing the following list. You may not hold some or most of the types of documents listed below, and we provide you with a complete list here so that if you or any other Irico employee you supervise holds information or documents that fall into any of the categories listed below, you will understand the scope of documents that are subject to this Information Retention Notice.

Information and documents that meet the following three criteria must be preserved and not discarded or deleted, unless otherwise notified:

1. Period: a document or information created from January 1, 1995 to the present, or relating to or about this period; and
2. Product: relating to or concerning (a) CRT or (b) semi-finished and finished products containing CRT, such as televisions containing CRT, ("CRT Products"); and
3. Subject matter: documents or information relating to or concerning one or more of the following twelve areas:
 - (a) Marketing and pricing data - revenue, sales, customer lists, dealer lists, sales agent or broker lists, prices, pricing guidelines, pricing policies, pricing strategies, quotations, offers, discounts, rebates, market shares, profits, marketing, marketing plans, market forecasts, or other business plans discussing any of

the foregoing subjects with respect to CRTs or CRT Products shipped to or sold in the United States;

- (b) Sales and transaction data - invoices, orders, sales or supply contracts, sales and transaction data, and databases regarding CRTs or CRT Products shipped to or sold in the United States;
- (c) Production and Costs - production, capacity, facility, inventory, costs or expenses relating to CRTs or CRT Products shipped to or sold in the United States;
- (d) Market research - market studies or reports discussing the sale, price, competition, competitors or production of CRTs or CRT Products in the United States or worldwide, whether provided by Irico, consultants or other third parties;
- (e) Communications, Agreements or Meetings with Competitors - Communications, agreements, understandings or meetings between Irico employees, agents or representatives, and employees, agents or representatives of Irico competitors (or between Irico competitors) regarding CRTs or CRT products sold in the United States or worldwide;
- (f) Distributor and Buyer Communications - Communications, agreements or understandings between two or more distributors or resellers of CRTs or CRT products or two or more buyers of CRTs or CRT Products for sale in the United States or worldwide.
- (g) Internal or external communications and telephone and facsimile records - documents that certify, reflect, or relate to communications with Irico or with third parties relating to any of the subjects in (a) through (f) above (e.g., emails, faxes, facsimile records, telephone messages, telephone bills, recorded or voicemail messages, telephone or meeting notes, memoranda, meeting summaries, or meeting notes);
- (h) Calendars and diaries - calendars, journals, daily business schedules, trip reports, notepads, phone books, appointment planners and task lists;
- (i) Expense reports and travel documents - expense reports and receipts, and travel-related documents, including itineraries, passports and visas;
- (j) Corporate financial information - annual and quarterly financial reports, shareholder reports and financial statements;
- (k) Personnel records - personnel records or data for current or former employees involved with CRTs or CRT Products in the U.S. or worldwide; or
- (l) Document Retention - Document retention policies and procedures.

Irico takes its responsibility to preserve information related to litigation and government investigations very seriously. Failure to preserve evidence may subject Irico or its employees to potentially severe sanctions. You should be aware that your failure to comply with this Information Retention Notice may subject you to disciplinary action by Irico, including dismissal.



If you are in possession of any documents or electronic information covered by this information retention notice, or if you are aware of a shared space where such documents or information are stored, please retain such documents or information until further notice and notify [insert Irico contact list] of the existence and location of such documents or information. If you have any questions or are unsure whether this information retention notice applies to you or to documents or information in your possession, please contact [insert Irico contact list].

U.S. law firm Pillsbury Winthrop Shaw Pittman LLP has been retained as an external counsel to represent Irico in this matter. A representative of the firm may be in touch with you.

We would like you to confirm that you have read this Information Retention Notice and will comply with the instructions regarding the retention of information. Please sign this information retention notice in the space provided below and return it to [insert Irico contact name] before [date].

Thank you for your cooperation.

I have read and will comply with this information retention notice.

Signature _____

Name _____

Title _____

Date _____



Pillsbury Winthrop Shaw Pittman LLP
2475 Hanover Street | Palo Alto, CA 94304-1114 | tel 650.233.4500 | fax 650.233.4545

备忘录

至: 闫云龙先生

发件人: Joseph R. Tiffany II

抄送: Terrence A. Callan
Philip A. Simpkins

日期: 2008 年 8 月 7 日

C/M#: 043250-0000001

主题: 显像管反垄断诉讼—信息保存通知

基于我们的先前通讯和证据保存法院令（见附件一），我们相虹彩虹集团公司和彩虹显示器件股份有限公司（以下合称“彩虹”）已经就保留与显像管反垄断诉讼争议标的潜在相关的信息通知适当的彩虹人员。本备忘录旨在建议彩虹在先前已进行的通知外，再向员工分发格式文件，旨在提醒彩虹人员遵守美国法律要求保存潜在相关信息的重要性。

附件二中的“信息保存通知”（“通知”）应向所有可能合理获得通知中描述的任何信息和文件的彩虹人员进行分发。在确定应收取本通知的具体彩虹人员时，贵方应从“最知悉人员”着手，在我们 2008 年 7 月 14 日题为“关于显像管反垄断诉讼信息请求”的备忘录中，我们已要求贵方进行确定。除上述“最知悉人员”外，还应将本通知向任何可能合理拥有与通知中确定的任何主题相关的文件或电子信息的员工（包括董事、高管、行政主管、经理和其他员工）进行分发。最后，本通知还应向负责信息技术和记录管理的适当彩虹人员分发。上述负责人应知悉本通知所述的要求并应考虑采取何种措施来避免在显像管反垄断诉讼进行过程中丢失任何潜在相关信息。保存所有潜在相关文件极为重要，若贵方对某位员工是否可能拥有潜在相关文件存有疑问时，我们建议贵方向其提供本通知。若贵方有任何问题，或想就潜在相关文件的保管人选进行讨论，请与我们联系。

为贵方方便起见，我们制作了相同格式的文件供您分发给彩虹集团公司和彩虹显示器件股份有限公司员工。若贵方希望将本通知修改成两份不同的文件格式分别向作为被告的两家彩虹公司的人员发送，也是可以的。您会发现，本通知最后一页留有要求收到通知的每位彩虹员工填写其签名和职务的空格。各员工通过签署本通知确认其已经阅读并将遵守本通知。要求员工签名旨在向员工强调遵守本通知的重要性，并提供彩虹已经采取适当措施履行其在诉讼中的信息保存义务的书面证据。本通知一份供每位员工用以留存，另一份供员工签署并归还。也请向我们提供一份员工签名的通知，我们也建议彩虹保留一份。我们建议贵方制作一份记录所有收到本通知的员工名单，以便确认每位收到通知的员工。此名单



还可以用以定期提醒每位员工保存文件和信息的义务，并可进行更新以添加将来加入彩虹的新员工，这些新员工也将收到本通知。请将这份名单发送给我们供我们存档。

如上所提到的，本通知确定了我们认为在现阶段需要保存的信息的具体种类。随着诉讼的推进以及我们对彩虹业务的进一步了解，我们可能会通知贵方需要保留的其他信息种类。诉讼的后续发展（包括后续法院裁定）还将导致通知中描述的某些信息种类无需再进行保留。但是，贵方在对通知中所列的任何信息种类采取任何措施解除保存时，请务必向我们进行咨询。

我们还在等待彩虹就我们在 2008 年 7 月 22 日向贵方发送的初步信息请求作出回复。贵方的回复将为我们提供必要的信息，以帮助我们确定是否还需采取其他措施进行信息保存。此外，我们曾指出过，我们必须获得这些信息以便尽力说服原告律师将彩虹从诉讼中取消。我们希望能尽快从贵方收到这些信息。

若贵方就本通知或显像管反垄断诉讼的任何其他方面有任何疑问，请与我们联系。



附件一

证据保全

诉讼各方及其代理人被告知其保全证据之责任可能与本案有关。该责任及于本案中诉讼各方以及任何雇员、代理、订约人、运送人或者保管人或者其他占有经过合理预期属于本案事实调查范围之材料的案外人所占有、保管或控制的文件、数据和有形物。“文件、数据和有形物”应被扩大解释为包括手迹、记录、存档、通讯、报告、备忘录、日历、日记、纪要、电子讯息、语音邮件、电子邮件、电话留言记录或日志、计算机和网络活动日志、硬件驱动器、数据备份、可移除的计算机存储介质例如磁带、盘片和卡，打印输出、文本的图像文件、网页、数据库、电子表格、软件、书、分类帐、分录簿、订单、发票、帐单、凭证、支票对帐单、工作表、摘要、编撰、计算、示意图、图表、图示、图画、胶片、草图、数字或化学处理的照片、视频、磁带或者数字记录的录音或其副本、草稿、便条或笔记、论文或者论文草稿或其他类似材料。为了发现、定位或者联系上述材料的任何信息，例如文件清单、文件夹、目录和元数据，也包括在此定义中。除非各方就保全计划达成协议或者法庭另有命令，每一方均应当采取合理措施保全含有和本案的标的可能有关的所有文件、数据和有形物。此外，代理人应当在联邦民事程序法要求的范围内，尽合理努力确定并告知各方和案外人，包括公司或单位之雇员其相关责任。



附件二

信息保存通知

你可能已经知道，彩虹集团公司和彩虹显示器件股份有限公司（“彩虹”）就其销售显像管和包含显像管的产品（“显像管产品”）在美国被起诉。本诉讼称为显像管反垄断诉讼。原告主张彩虹和其他几家销售显像管和显像管产品的公司共谋人为地抬高在美国销售的显像管和显像管产品的价格。彩虹否认其参与过任何非法行为，并相信其会胜诉。

彩虹作为显像管反垄断诉讼中的被告，必须确保对关于彩虹显像管业务的所有潜在相关信息进行保存。本信息保存通知旨在确认和强调我们先前对保存记录作出的指示。

必须保存以下描述的所有文件和信息（有形和电子形式），直至本信息保存通知终止。本信息保存通知适用于存放在个人办公室、共享文档柜和外部场所的信息和文件。还包括保留在所有地方（包括台式电脑、笔记本电脑、家用电脑、个人数字助理、服务器文档、可移动设备、手机等）的数字或电子信息。请阅读附件中保存电子信息的说明。若你对遵守说明有任何疑问或问题，请联系【插入回答问题的彩虹员工的姓名和联系信息。】

不得销毁本信息保存通知中涉及的任何信息。彩虹对上述信息的正常保留/销毁程序即告中止。严格禁止损毁受限于本信息保存通知的任何文件或信息。

为了使你明确必须保存的信息和文件，我们现提供以下清单。你可能并不持有如下所列的某些或多数文件种类，我们在此向你提供完整清单，这样在你或你监督的任何其他彩虹员工持有属于任何如下种类的信息或文件时，你就会明白受限于本信息保存通知的文件范围。

符合以下三项标准的信息和文件必须进行保存，不得丢弃或删除，除非另有通知：

1. 期间：自 1995 年 1 月 1 日至今创建的，文件或信息，或涉及或关于此期间的文件或信息；且
2. 产品：涉及或关于(a)显像管或(b)包含显像管的半成品和成品，如包含显像管的电视机，（“显像管产品”）；且
3. 标的：涉及或关于以下十二个领域中一个或多个的文件或信息：
 - (a) 营销和价格数据 – 关于运往美国或在美国销售的显像管或显像管产品的收入、销售额、客户名单、经销商名单、销售代理或经纪商名单、价格、定价方针、定价政策、定价战略、报价、发盘、折扣、回扣、



市场份额、利润、营销、营销计划、市场预测、或其他讨论任何上述主题的商业计划；

- (b) 销售和交易数据 – 关于运往美国或在美国销售的显像管或显像管产品的发票、订单、销售或供应合同、销售和交易数据、以及数据库；
- (c) 生产和成本 – 关于运往美国或在美国销售的显像管或显像管产品的产量、产能、设备、存货、成本或费用；
- (d) 市场研究 – 讨论美国或全球显像管或显像管产品销售、价格、竞争、竞争者或生产的市场研究或报告，无论是由彩虹、顾问或其他第三方提供；
- (e) 与竞争者的通讯、协议或会议 – 彩虹员工、代理人或代表，与彩虹竞争者员工、代理人或代表（或彩虹竞争者间的）之间的关于在美国或全球销售的显像管或显像管产品的通讯、协议、谅解或参与的会议；
- (f) 经销商和买方通讯 – 两个以上显像管或显像管产品的经销商或转销商或两个以上买方间关于在美国或全球销售的显像管或显像管产品的通讯、协议或谅解；
- (g) 内部或外部通讯及电话和传真记录 – 证明、反映、或涉及与彩虹进行通讯或与第三方通讯的与上述(a)至(f)中的任何主题相关的文件（如电子邮件、传真、传真记录、电话留言、电话帐单、录音或语音邮件、电话或会议记录、备忘录、会议摘要或会议记要）；
- (h) 日历和日记 – 日历、日记、日常商务时间表、旅行报告、记事本、电话簿、约会计划本和任务表；
- (i) 费用报告和旅行文件 – 费用报告和收据，及旅行相关文件，包括行程表、护照和签证；
- (j) 公司财务信息 – 年度和季度财务报告、股东报告和财务报表；
- (k) 人员记录 – 涉及美国或全球显像管或显像管产品的在职或离职员工的人员记录或数据；或
- (l) 文件保留 – 文件保留政策和程序。

彩虹非常重视其保存与诉讼和政府调查相关的信息的责任。若未能保存证据，彩虹或其员工会被潜在地施加严厉制裁。你应意识到若你不能遵守本信息保存通知，彩虹会对你进行纪律处罚，包括开除。



若你持有本信息保存通知中涉及的任何文件或电子信息，或你知悉存放该等文件或信息的共享区域，请在得到进一步通知前保存该等文件或信息并就该等文件或信息的存在和处所通知【插入彩虹联系人名单】。若你有任何问题或者无法确定本信息保存通知是否适用于你或你所持有的文件或信息，请联系【插入彩虹联系人名单】。

美国必百瑞律师事务所已被聘为外部顾问在此事项中代表彩虹。该所的代表可能会联系你。

我们希望你确认你已经阅读本信息保存通知并将遵守关于信息保存的说明。请在以下空白处签署本信息保存通知并于【日期】前归还【插入彩虹联系人姓名】。

谢谢你的配合。

我已经阅读并将遵守本信息保存通知。

签名

姓名

职务

日期

EXHIBIT D

1 BAKER BOTTS L.L.P.
2 John M. Taladay (*pro hac vice*)
3 Evan J. Werbel (*pro hac vice*)
4 Thomas E. Carter (*pro hac vice*)
5 Andrew L. Lucarelli (*pro hac vice*)
6 700 K Street, N.W.
7 Washington, D.C. 20001
(202)-639-7700
(202)-639-7890 (fax)
Email: john.taladay@bakerbotts.com
evan.werbel@bakerbotts.com
tom.carter@bakerbotts.com
drew.lucarelli@bakerbotts.com

8
9 *Attorneys for Defendants*
10 *IRICO GROUP CORP. and*
IRICO DISPLAY DEVICES CO., LTD.

11 **UNITED STATES DISTRICT COURT**
12 **NORTHERN DISTRICT OF CALIFORNIA**
13 **OAKLAND DIVISION**

15 IN RE: CATHODE RAY TUBE (CRT)
16 ANTITRUST LITIGATION

Master File No. 07-cv-05944-JST
(N.D. Cal.)

17 MDL No. 1917

18 This Document Relates to:

19 *ALL INDIRECT PURCHASER ACTIONS*

IRICO DEFENDANTS'
SUPPLEMENTAL OBJECTIONS AND
RESPONSES TO INDIRECT
PURCHASER PLAINTIFFS' FOURTH
SET OF INTERROGATORIES TO
IRICO GROUP CORPORATION AND
IRICO DISPLAY DEVICES CO., LTD.

22 PROPOUNDING PARTY: Indirect Purchaser Plaintiffs

23 RESPONDING PARTIES: Irizo Group Corporation
24 Irizo Display Devices Co., Ltd.

25 SET NUMBER: Four

1 Pursuant to Federal Rules of Civil Procedure 26 and 33, Irico Group Corporation and Irico
 2 Display Devices Co, Ltd. (collectively, "Irico" or "Irico Defendants") hereby provides these
 3 supplemental responses to the Indirect Purchaser Plaintiffs' ("Plaintiffs") Fourth Set of
 4 Interrogatories to Irico Group Corporation and Irico Display Devices Co., Ltd., dated December
 5 14, 2021 ("Interrogatories"). Irico reserves the right to further amend or supplement these
 6 Objections and Responses (the "Responses") to the extent allowed by the Federal Rules of Civil
 7 Procedure and the Local Rules of Practice in Civil Proceedings before the United States District
 8 Court for the Northern District of California ("Local Rules"). Subject to and without waiving any
 9 of Irico's General and Specific Objections as set forth below, Irico is willing to meet and confer
 10 with Plaintiffs regarding such General and Specific Objections.

11 The following Responses are made only for purposes of this case. The Responses are
 12 subject to all objections as to relevance, materiality and admissibility, and to any and all
 13 objections on any ground that would require exclusion of any response if it were introduced in
 14 court. All evidentiary objections and grounds are expressly reserved.

15 These Responses are subject to the provisions of the Stipulated Protective Order issued by
 16 the Court on June 18, 2008 ("Protective Order"). Irico's Responses are hereby designated
 17 "Confidential" in accordance with the provisions of the Protective Order.

18 **GENERAL OBJECTIONS**

19 Irico makes the following General Objections to Plaintiffs' Interrogatories:

20 1. Irico's Responses are based upon information available to and located by Irico as
 21 of the date of service of these Responses. In responding to Plaintiffs' Interrogatories, Irico states
 22 that it has conducted a diligent search, reasonable in scope, of those files and records in its
 23 possession, custody, or control believed to likely contain information responsive to Plaintiffs'
 24 Interrogatories.

25 2. No express, incidental, or implied admissions are intended by these Responses and
 26 should not be read or construed as such.

27 3. Irico does not intend, and its Responses should not be construed as, an agreement
 28 or acquiescence with any characterization of fact, assumption, or conclusion of law contained in

1 or implied by the Requests.

2 4. Irico has made a good faith and reasonable attempt to ascertain whether
 3 information responsive to Plaintiffs' Interrogatories exists and is properly producible, and has
 4 produced or made available for examination non-privileged responsive materials located during
 5 the course of that reasonable search.

6 5. To the extent that Irico refers to any pleading, expert report, or other filing in its
 7 Specific Responses, Irico incorporates by reference all exhibits and/or evidence cited therein.

8 6. Irico objects to Plaintiffs' Interrogatories to the extent that they are overly broad,
 9 unduly burdensome, oppressive, and duplicative to the extent that they seek information or
 10 documents that are already in the possession, custody, or control of Plaintiffs.

11 7. Irico objects to Plaintiffs' Interrogatories to the extent that they seek to impose
 12 obligations on Irico beyond those of the Federal Rules of Civil Procedure, the Local Rules, or any
 13 Order of this Court.

14 8. Irico objects to Plaintiffs' Interrogatories to the extent that they request duplicative
 15 discovery in violation of the Order Re Discovery And Case Management Protocol, ECF No.
 16 1128. *See Order Re Plaintiffs' Motions To Compel Supplemental Discovery From Toshiba And*
 17 *Panasonic, ECF No. 4128, at 4 ("The Discovery Protocol (ECF No 1128), requires parties to*
 18 *coordinate discovery and not file duplicative discovery. . . . The benefit redounds to all parties on*
 19 *both sides of the litigation, by conserving the efforts required by Plaintiffs and protecting*
 20 *defendants against unnecessary duplication of effort.") (Report and Recommendation adopted in*
 21 *full at ECF No. 4256).*

22 9. Irico objects to Plaintiffs' Interrogatories to the extent they seek information that is
 23 not relevant or disproportionate to the needs of the case.

24 10. Irico objects to Plaintiffs' Interrogatories to the extent that they are vague,
 25 ambiguous, or susceptible to more than one interpretation. Irico has attempted to construe such
 26 vague or ambiguous Interrogatories so as to provide for the production of responsive information
 27 that is proportionate to the needs of the case. If Plaintiffs subsequently asserts an interpretation of
 28 any Interrogatory that differs from Irico's understanding, Irico reserves the right to supplement or

1 amend its Responses.

2 11. Irico objects to Plaintiffs' Interrogatories to the extent that they contain terms that
 3 are insufficiently or imprecisely defined. Irico shall attempt to construe such vague or ambiguous
 4 Interrogatories so as to provide for the production of responsive information that is proportionate
 5 to the needs of the case.

6 12. Irico objects to Plaintiffs' Interrogatories to the extent that they seek information
 7 that is protected from disclosure by the attorney-client privilege, work product doctrine, joint
 8 defense or common interest privilege, self-evaluative privilege, or any other applicable privilege
 9 or immunity. Irico provides only information that it believes to be non-privileged and otherwise
 10 properly discoverable. Nothing in Irico's responses is intended nor should be construed as a
 11 waiver of any such privilege or immunity. The inadvertent or mistaken provision of any
 12 information or responsive documents subject to any such doctrine, privilege, protection or
 13 immunity from production shall not constitute a general, inadvertent, implicit, subject-matter,
 14 separate, independent or other waiver of such doctrine, privilege, protection or immunity from
 15 production.

16 13. Irico objects to Plaintiffs' Interrogatories to the extent that they call for
 17 information that is not in the possession, custody, or control of Irico. Irico also objects to the
 18 extent that any of Plaintiffs' Interrogatories seek information from non-parties or third parties,
 19 including but not limited to any of Irico's subsidiary or affiliated companies.

20 14. Irico objects to Plaintiffs' Interrogatories to the extent that responding would
 21 require Irico to violate the privacy and/or confidentiality of a third party or confidentiality
 22 agreement with a third party.

23 15. Irico objects to Plaintiffs' Interrogatories to the extent that they seek information
 24 that is publicly available, already in Plaintiffs' possession, custody, or control, or more readily
 25 available from other sources.

26 16. Irico objects to Plaintiffs' Interrogatories to the extent that they seek information
 27 or documents concerning transactions outside the United States. Such Requests are unduly
 28 burdensome and disproportionate to the needs of the case as Plaintiffs' class definition is confined

1 to “individuals and entities that indirectly purchased Cathode Ray Tube Products . . . in the United
 2 States” (see Indirect Purchaser Plaintiffs’ Fifth Consolidated Amended Complaint (“Complaint”)
 3 dated September 19, 2019).

4 17. Irico objects to Plaintiffs’ Interrogatories to the extent that compliance would
 5 require Irico to violate the laws, regulations, procedures, or orders of a judicial or regulatory body
 6 of foreign jurisdictions.

7 18. Irico’s responses pursuant to Plaintiffs’ Interrogatories should not be construed as
 8 either (i) a waiver of any of Irico’s general or specific objections or (ii) an admission that such
 9 information or documents are either relevant or admissible as evidence.

10 19. Irico objects to Plaintiffs’ Interrogatories to the extent that they are compound
 11 and/or contain discrete subparts in violation of Federal Rule of Civil Procedure 33(a)(1).

12 20. Irico objects to Plaintiffs’ Interrogatories to the extent that they state and/or call for
 13 legal conclusions.

14 21. Irico objects to the Interrogatories to the extent that they contain express or implied
 15 assumptions of fact or law with respect to the matters at issue in this case.

16 22. Irico objects to the Interrogatories to the extent they seek information or
 17 documents that cannot be removed or transmitted outside China without violating the laws and
 18 regulations of that country, including but not limited to restrictions on the transmission of state
 19 secrets or trade secrets as those terms are defined under Chinese law.

20 23. Irico objects to the Interrogatories to the extent that they are premature based on
 21 the current status of discovery in the case. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011
 22 WL 9558055 (N.D. Cal. May 19, 2011). Irico further objects to each Interrogatory to the extent
 23 that it: (a) conflicts with obligations that are imposed by the Federal Rules of Civil Procedure, the
 24 Civil Local Rules of this Court, and/or any other applicable rule; and/or (b) seeks information that
 25 is dependent on depositions that have not occurred.

26 24. Irico reserves the right to assert additional General and Specific Objections as
 27 appropriate to supplement these Responses.

28 These General Objections apply to each Interrogatory as though restated in full in the

1 responses thereto. The failure to mention any of the foregoing General Objections in the specific
 2 responses set forth below shall not be deemed as a waiver of such objections or limitations.

3 **GENERAL OBJECTIONS TO DEFINITIONS AND INSTRUCTIONS**

4 1. Irico objects to the definitions of “Including” and “Relating” (Definition No. 1) on
 5 the grounds that it calls for a legal conclusion and is otherwise vague, ambiguous, and overly
 6 broad. Irico further objects to this definition to the extent that it attempts to impose burdens on
 7 Irico beyond those imposed by the Federal Rules of Civil Procedure. Irico further objects to this
 8 definition to the extent that it seeks information protected by the attorney client or other
 9 applicable privilege, attorney work product doctrine, or otherwise seeks to violate rights of
 10 privacy under U.S. or foreign law.

11 2. Irico objects to the definitions of “You,” “Your,” and “Irico” (Definition No. 2) to
 12 the extent that Plaintiffs defines those terms to include the Irico’s “present and former members,
 13 officers, agents, employees, and all other persons acting or purporting to act on their behalf,
 14 including all present and former members, officers, agents, employees, and all other persons
 15 exercising or purporting to exercise discretion, making policy, and make decisions.” This
 16 definition is overbroad, unduly burdensome, vague, and ambiguous. In particular, Irico objects to
 17 this definition to the extent it purports to request information beyond the possession, custody, or
 18 control of Irico Group or Irico Display, including but not limited to information in the possession
 19 of non-parties and third parties where Irico lacks any duty to obtain or otherwise search for the
 20 information and for whom the Court lacks personal jurisdiction. Irico also objects to the inclusion
 21 of all “present or former employees, officers, directors, agents . . . or any other person acting on
 22 [the] behalf [of]” Irico within this definition to the extent it purports to encompass information
 23 that is protected by attorney-client privilege, work product protection or any other applicable
 24 doctrine, privilege, protection or immunity or otherwise calls for a legal conclusion.

25 3. Irico objects to the definition of “Identify” (Definition No. 3) on the grounds that
 26 the definition is overly broad, unduly burdensome, and seeks information that is neither relevant
 27 nor proportionate to the needs of the case.

28 4. Irico objects to the definition of “Document” (Definition No. 5) to the extent it

seeks to impose requirements that are beyond those imposed by the Federal Rules of Civil Procedure, the Local Rules, any Order of this Court, or any other applicable laws.

5. Irico objects to the definition of “CRT” (Definition No. 6) on the grounds that it is vague, ambiguous and overly broad.

6. Irico objects to Instruction No. 1 (related to disclosure of additional information) to the extent it purports to impose burdens or obligations broader than, inconsistent with, or not authorized under the Federal Rules of Civil Procedure, including, without limiting the generality of the foregoing, Rule 26(e).

7. Irico objects to Instruction No. 2 (related to production of business records) to the extent that it purports to impose burdens or obligations broader than, inconsistent with, or not authorized under the Federal Rules of Civil Procedure, including, without limiting the generality of the foregoing, Rule 33(d), Rule 26(b). Irico further objects to this Instruction to the extent that it purports to impose burdens or obligations broader than, inconsistent with, or not authorized under, the Local Rules and any Orders of the Court.

8. Irico objects to Instruction No. 3 (related to privileged information) to the extent that it purports to impose burdens or obligations broader than, inconsistent with, or not authorized under the Federal Rules of Civil Procedure, including, without limiting the generality of the foregoing, Rule 33(d), Rule 26(b)(5)(A) and Rule 26(e)(1). Irico further objects to this Instruction to the extent that it purports to impose burdens or obligations broader than, inconsistent with, or not authorized under, the Local Rules and any Orders of the Court, and on the grounds that it is vague, ambiguous, and inconsistent with common usage. Irico further objects to this Instruction to the extent it seeks information that would disclose personal confidential information and/or violate any and all rights of privacy under the United States Constitution or Article I of the Constitution of the State of California, or any other applicable law or state constitution, or that is otherwise prohibited from disclosure because to do so would cause Irico to violate legal and/or contractual obligations to any other persons or entities.

SPECIFIC RESPONSES TO INTERROGATORIES

INTERROGATORY NO. 7

1 As to each of the Defenses set forth in Your Answers to IPPs' Fifth Consolidated
 2 Complaint (ECF Nos. 5873, 5875):

- 3 a. State all facts that You rely on to support Your contention;
- 4 b. Identify each Person You contend has knowledge of facts that support Your
 contention; and
- 5 c. Identify each Document You contend supports Your contention

6

7 **RESPONSE TO INTERROGATORY NO. 7**

8 In addition to Irico's General Objections, which Irico incorporates by reference, Irico
 9 specifically objects to this Interrogatory on the grounds that it is overbroad, unduly burdensome,
 10 not proportional to the needs of the case, and seeks information that is maintained by and equally
 11 available to Plaintiffs or stated in publicly available documents. Irico objects to this Interrogatory
 12 on the grounds that it calls for a legal conclusion. Irico objects that the term "support" is vague
 13 and ambiguous, rendering this Interrogatory overbroad, unduly burdensome, and not
 14 proportionate to the needs of the case. Irico also objects to this Interrogatory on the grounds that it
 15 contains impermissible subparts as Irico has identified forty-one defenses in its Answer to the
 16 Plaintiffs' Complaint. Irico also objects to this Interrogatory to the extent it improperly tries to
 17 shift the evidentiary burden that Plaintiffs alone carry to Irico. Irico further objects to Plaintiffs'
 18 Interrogatories to the extent that they request duplicative discovery in violation of the Order Re
 19 Discovery And Case Management Protocol, ECF No. 1128. *See Order Re Plaintiffs' Motions To*
 20 *Compel Supplemental Discovery From Toshiba And Panasonic*, ECF No. 4128, at 4 ("The
 21 Discovery Protocol (ECF No 1128), requires parties to coordinate discovery and not file
 22 duplicative discovery. . . . The benefit redounds to all parties on both sides of the litigation, by
 23 conserving the efforts required by Plaintiffs and protecting defendants against unnecessary
 24 duplication of effort.") (Report and Recommendation adopted in full at ECF No. 4256). Plaintiffs'
 25 request is duplicative, in part or in whole, of the following discovery requests: Interrogatory No. 7
 26 of Indirect Purchaser Plaintiffs' First Set of Interrogatories; Interrogatory Nos. 17-20 of Direct
 27 Purchaser Plaintiffs' First Set of Interrogatories; and, Interrogatory Nos. 16-23 of Direct
 28 Purchaser Plaintiffs Arch Electronics, Inc.'s First Set of Interrogatories to Irico Group

1 Corporation and Irico Display Devices Co., Ltd.

2 Subject to and without waiving the foregoing objections, Irico responds as follows:

3 **INTERROGATORY RESPONSE AS TO IRICO'S SECOND DEFENSE**

4 Plaintiffs' claims for any foreign purchases, if any, should be dismissed to the extent that
 5 they are barred, in whole or in part, because Plaintiffs have failed to allege facts sufficient to
 6 support a claim under the Foreign Trade Antitrust Improvements Act, 15 U.S.C. § 6a.

7 **RESPONSE**

8 Irico further objects that this Interrogatory is premature given that no Irico witnesses have
 9 been deposed relating to merits issues and expert analysis and disclosures have not yet begun. *See*
 10 *Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011). Irico
 11 also objects to this interrogatory on the ground that it calls for a legal argument or legal
 12 conclusion. Irico further objects to this interrogatory to the extent that it improperly tries to shift
 13 the pleading and evidentiary burden that Plaintiffs alone carry to Irico.

14 Subject to and without waiving the objections stated above, Irico contends that Plaintiffs
 15 have failed to allege facts sufficient to support a claim under the Foreign Trade Antitrust
 16 Improvements Act ("FTAIA"), 15 U.S.C. § 6a. At all relevant times, the North American CRT
 17 market was unique and separate from other foreign markets, including China. In supporting its
 18 claims, Plaintiffs rely on alleged meetings and communications that occurred outside the United
 19 States that discuss and relate to CRTs sold outside the United States. Plaintiffs have not
 20 established how it is entitled to any relief under FTAIA based on their purchases of either CRT
 21 products outside of the United States or their purchase of CRT products containing CRTs
 22 manufactured and/or purchased outside the United States. Irico also identifies the following
 23 evidence under FRCP 33(d): Irico Group Corporation's Amended Motion to Dismiss Claims of
 24 Indirect Purchaser Plaintiffs for Lack of Subject Matter Jurisdiction (Fed. R. Civ. P. 12(b)(1)),
 25 March 19, 2019; Irico Display Devices Co., Ltd.'s Amended Motion to Dismiss Claims of
 26 Indirect Purchaser Plaintiffs for Lack of Subject Matter Jurisdiction (Fed. R. Civ. P. 12(b)(1)),
 27 March 19, 2019; Irico Defendants' Reply in Support of Amended Motions to Dismiss Claims of
 28 Indirect Purchaser Plaintiffs for Lack of Subject Matter Jurisdiction (Fed. R. Civ. P. 12(b)(1)),

1 May 13, 2019.

2 **SUPPLEMENTAL RESPONSE**

3 Irico further objects that this Interrogatory is premature given that no Irico witnesses have
 4 been deposed relating to merits issues. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL
 5 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it
 6 calls for a legal argument or legal conclusion. Irico further objects to this interrogatory to the
 7 extent that it improperly tries to shift the pleading and evidentiary burden that Plaintiffs alone
 8 carry to Irico. Irico does not intend, and its Response should not be construed as, an agreement or
 9 acquiescence as to the admissibility of any document or fact contained in or implied by Irico's
 10 Response.

11 Subject to and without waiving the objections stated above, Irico contends that Plaintiffs
 12 have failed to allege facts sufficient to support a claim under the Foreign Trade Antitrust
 13 Improvements Act ("FTAIA"), 15 U.S.C. § 6a. At all relevant times, the North American CRT
 14 market was unique and separate from other foreign markets, including China. In supporting its
 15 claims, Plaintiffs rely on alleged meetings and communications that occurred outside the United
 16 States that discuss and relate to CRTs sold outside the United States. Plaintiffs have not
 17 established how it is entitled to any relief under FTAIA based on their purchases of either CRT
 18 products outside of the United States or their purchase of CRT products containing CRTs
 19 manufactured and/or purchased outside the United States. Irico also identifies the following
 20 evidence: Am. Wang. Decl. in Support of FSIA MTD (March 19, 2019); Clarke Decl. in Support
 21 of FSIA MTD (March 19, 2019); Am. Plunkett Decl in Support of FSIA MTD (March 19, 2019);
 22 Irico Group Corporation's Amended Motion to Dismiss Claims of Direct Purchaser Plaintiffs for
 23 Lack of Subject Matter Jurisdiction (Fed. R. Civ. P. 12(b)(1)), March 19, 2019; Irico Display
 24 Devices Co., Ltd.'s Amended Motion to Dismiss Claims of Direct Purchaser Plaintiffs for Lack of
 25 Subject Matter Jurisdiction (Fed. R. Civ. P. 12(b)(1)), March 19, 2019; Irico Defendants' Reply in
 26 Support of Amended Motions to Dismiss Claims of Direct Purchaser Plaintiffs for Lack of
 27 Subject Matter Jurisdiction (Fed. R. Civ. P. 12(b)(1)), May 2, 2019; Deposition of Woong Rae
 28 Kim (SDI, July 1-2, 2014), 386:15-387:21; Deposition of Kyung Chul Oh (SDI, November 19-22,

1 2013), pp. 229:18-231:13; Deposition of Dae Eui Lee (SDI, January 16-18, 2013), p. 80:2-82:6;
 2 Deposition of Sheng-Jen Yang (Chunghwa, February 22, 25-26, 2013), pp. 440:16-24; Deposition
 3 of Kazutaka Nishimura (Toshiba/MTPD, June 10-12, 2014), pp. 136:21-137:15, 353:18-354:7,
 4 385:21-386:22; HEDUS-CRT00126016; CHU00029131E; CHU00030665E; CHU00029171E;
 5 CHU00029191E; CHU00036410E; CHU00029131E; CHU00029179E; SDCRT-0002520E;
 6 CHU00030537E; SDCRT-0091491; SDCRT-0005852

7 **INTERROGATORY RESPONSE AS TO IRECO'S THIRD DEFENSE**

8 Plaintiffs' claims and the claims of any putative class members are barred, in whole or in
 9 part, because the alleged conduct of [Group or Display] that is the subject of the Complaint either
 10 occurred outside the jurisdiction of the Court or was neither directed to nor affected persons,
 11 entities, trade or commerce in the United States, or both.

12 **RESPONSE**

13 Irico further objects that this Interrogatory is premature given that no Irico witnesses have
 14 been deposed relating to merits issues and expert analysis and disclosures have not yet begun.

15 *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011).

16 Irico also objects to this interrogatory on the ground that it calls for a legal argument or legal
 17 conclusion. Irico further objects to this interrogatory to the extent that it improperly tries to shift
 18 the pleading and evidentiary burden that Plaintiffs alone carry to Irico.

19 Subject to and without waiving the objections stated above, and despite the Interrogatory's
 20 demand for proof of facts and evidence of events that did not take place, Irico asserts no evidence
 21 has been brought in the above captioned matter that indicates that Irico manufactured, sold, or
 22 distributed CRTs in the United States during the class period. In particular, Irico will be
 23 producing compilations of its sales records that demonstrate that Irico did not sell CRTs to the
 24 United States. Irico also identifies the following evidence under FRCP 33(d): Direct Purchaser
 25 Plaintiffs' Supplemental Objections and Responses to Defendants Irico Group Corp. and Irico
 26 Display Devices Co., Ltd.'s First Set of Interrogatories to Direct Purchaser Plaintiffs, July 14,
 27 2021; Irico Defendants' Sixth Supplemental Objections and Responses to Direct Purchaser
 28 Plaintiffs' First Set of Interrogatories, January 7, 2022; Irico Defendants' Third Supplemental

1 Objections and Responses to Indirect Purchaser Plaintiffs' First Set of Interrogatories, January 7,
 2 2022; Irico Defendants' Supplemental Objections and Responses to Indirect Purchaser Plaintiffs'
 3 Third Set of Interrogatories to Irico Group Corporation and Irico Display Devices Co., Ltd.,
 4 January 21, 2022; Rule 30(b)(6) Deposition of Irico Group Corp. and Irico Display Devices Co.,
 5 Ltd., March 6-8, 2019.

6 Irico identifies the following persons with knowledge regarding this Interrogatory: Wang
 7 Zhaojie and Su Xiaohua.

8 **SUPPLEMENTAL RESPONSE**

9 Irico further objects that this Interrogatory is premature given that no Irico witnesses have
 10 been deposed relating to merits issues. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL
 11 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it
 12 calls for a legal argument or legal conclusion. Irico further objects to this interrogatory to the
 13 extent that it improperly tries to shift the pleading and evidentiary burden that Plaintiffs alone
 14 carry to Irico. Irico does not intend, and its Response should not be construed as, an agreement or
 15 acquiescence as to the admissibility of any document or fact contained in or implied by Irico's
 16 Response.

17 Subject to and without waiving the objections stated above, and despite the Interrogatory's
 18 demand for proof of facts and evidence of events that did not take place, Irico asserts no evidence
 19 has been brought in the above captioned matter that indicates that Irico manufactured, sold, or
 20 distributed CRTs in the United States during the class period. In particular, Irico has produced
 21 summaries of its sales records that demonstrate that Irico did not sell CRTs to the United States.
 22 See Summary of Invoice Records produced by Irico on June 2, 2022. Irico also identifies the
 23 following evidence: Am. Wang. Decl. in Support of FSIA MTD, ¶¶ 12, 16-17 (March 19, 2019);
 24 Direct Purchaser Plaintiffs' Supplemental Objections and Responses to Defendants Irico Group
 25 Corp. and Irico Display Devices Co., Ltd.'s First Set of Interrogatories to Direct Purchaser
 26 Plaintiffs, July 14, 2021; Irico Defendants' Sixth Supplemental Objections and Responses to
 27 Direct Purchaser Plaintiffs' First Set of Interrogatories, at 17-18, 20-21, January 7, 2022; Irico
 28 Defendants' Third Supplemental Objections and Responses to Indirect Purchaser Plaintiffs' First

1 Set of Interrogatories, January 7, 2022; Irico Defendants' Supplemental Objections and
 2 Responses to Indirect Purchaser Plaintiffs' Third Set of Interrogatories to Irico Group Corporation
 3 and Irico Display Devices Co., Ltd., at 11-13, January 21, 2022; Wang Dep. Tr. (March 6, 2019)
 4 94:3-97:1; 107:6-14; 109:2-12.

5 Irico identifies the following persons with knowledge regarding this Interrogatory: Wang
 6 Zhaojie and Yan Yunlong.

7 **INTERROGATORY RESPONSE AS TO IRECO'S EIGHTH DEFENSE**

8 Plaintiffs' claims and the claims of any putative class members are barred, in whole or in
 9 part, because the actions or practices of [Group or Display] that are the subject of the Complaint
 10 were undertaken unilaterally for legitimate business reasons and in pursuit of [Group or
 11 Display]'s independent interests and those of its customers, and were not the product of any
 12 contract, combination or conspiracy between Group and any other person or entity.

13 **RESPONSE**

14 Irico further objects that this Interrogatory is premature given that no Irico witnesses have
 15 been deposed relating to merits issues and expert analysis and disclosures have not yet begun. *See*
 16 *Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011). Irico
 17 also objects to this interrogatory on the ground that it calls for a legal argument or legal
 18 conclusion.

19 Subject to and without waiving the objections stated above, Irico contends that its acts or
 20 practices were undertaken unilaterally for legitimate business reasons and in pursuit of Irico's
 21 independent interests. In addition, Irico asserts no evidence has been brought in the above
 22 captioned matter that indicates that its actions were not undertaken unilaterally for legitimate
 23 business reasons and in pursuit of Irico's independent interests. Pursuant to Rule 33(d) of the
 24 Federal Rules of Civil Procedure, Irico relies on the following evidence to support its contention
 25 that at all times its acts or practices were undertaken unilaterally for legitimate business reasons
 26 and in pursuit of Irico's independent interests: Reply Brief of Irico In Support of Motion to Set
 27 Aside Default at 10-11, ECF No. 5229; Exs. A – F to the Declaration of Stuart C. Plunkett in
 28 Support of Motion to Set Aside Default, ECF Nos. 5229-02 through -07; IRI-CRT-00010133;

1 IRI-CRT-00010449; IRI-CRT-00010468; IRI-CRT-00026812; IRI-CRT-00030226; IRI-CRT-
 2 00030865; IRI-CRT-00031457; BMCC-CRT000002761; BMCC-CRT000002762;
 3 CHU00029175E; CHU00029179E; CHU00029259E; CHU00030067E; CHU00030777E;
 4 CHU00030941E; CHU00030973E; CHU00031018E; CHU00031032; CHU00031044E; and,
 5 CHU00031070E.

6 Irico further contends that Irico's pricing-related conduct was compelled by the Chinese
 7 government and based on duly enacted laws and/or regulations of the People's Republic of China.
 8 Irico relies on the following evidence to support this contention:

- 9 • The State Planning Commission and the State Economic and Trade Commission
 10 issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced
 11 Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the
 12 Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF
 13 No. 5229-02.
- 14 • Notice of the State Planning Commission on Issuing the "Measures for the
 15 Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial
 16 Implementation)" – effective as of March 1, 1999. *See*
 17 <https://law.lawtime.cn/d448076453170.html>.
- 18 • Notice of the State Planning Commission and the Ministry of Information Industry
 19 on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and
 20 Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex.
 21 B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 22 Default, ECF No. 5229-03.
- 23 • Notice on submitting cost information of color TV and color tube industry issued
 24 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
 25 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05.
- 26 • Notice on the issuance of the average production cost of some types of color
 27 picture tubes and color TV industries issued by the Ministry of Information
 28 Industry on April 2, 1999. *See* IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to

the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-04.

- Notice on the issuance of the average production cost of certain types of color TV industries issued by the Ministry of Information Industry on August 25, 2000. *See* Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-06.
- Notice on the issuance of the average production cost of some industries of color picture tubes issued by the Ministry of Information Industry on September 14, 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-07.

Irico identifies the following employees as having knowledge regarding this Interrogatory:
Wang Zhaojie and Su Xiaohua.

SUPPLEMENTAL RESPONSE

Irico further objects that this Interrogatory is premature given that no Irico witnesses have been deposed relating to merits issues. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it calls for a legal argument or legal conclusion. Irico also objects to this interrogatory to the extent that it attempts to ascribe an unlawful or anticompetitive purpose to Irico contacts with CRT manufacturers that were mere exchanges of information, which are lawful in the absence of additional evidence that an agreement to engage in unlawful conduct resulted from, or was a part of, the information exchange. *See USA v. Penn et al* (Doc no. 1232, at ¶ 21, D. Colo. 1:20-cr-00152-PAB). Irico does not intend, and its Response should not be construed as, an agreement or acquiescence as to the admissibility of any document or fact contained in or implied by Irico’s Response.

Subject to and without waiving the objections stated above, Irico contends that its acts or practices were undertaken unilaterally for legitimate business reasons and in pursuit of Irico's independent interests. In addition, Irico asserts no evidence has been brought in the above captioned matter that indicates that its actions were not undertaken unilaterally for legitimate

1 business reasons and in pursuit of Irico's independent interests. Subject to and without waiving
 2 the foregoing objections, Irico identifies the following evidence: Expert Report of Robert D.
 3 Willig at ¶ 8, December 17, 2012; Expert Report of Robert D. Willig at ¶¶ 57-61, August 5, 2014;
 4 Expert Report of Margaret E. Guerin-Calvert at ¶¶ 90-98, August 5, 2014; Expert Report of Prof.
 5 Dennis W. Carlton at ¶¶ 64, 68, August 5, 2014; Wang Dep. Tr. (Mar. 8, 2019), at 43:5-15, 57:8-
 6 10, 64:14-21, 75:7-11; Reply Brief of Irico In Support of Motion to Set Aside Default at 10-11,
 7 ECF No. 5229; Exs. A – F to the Declaration of Stuart C. Plunkett in Support of Motion to Set
 8 Aside Default, ECF Nos. 5229-02 through -07; Irico Response to Plaintiffs' Conspiracy Proffer to
 9 Special Master at 2-8, (April 11, 2022); BMCC-CRT000113367; BMCC-CRT000113368;
 10 BMCC-CRT000113372; BMCC-CRT000113374; BMCC-CRT000113378; BMCC-
 11 CRT000113379; BMCC-CRT000113380; BMCC-CRT000113381; BMCC-CRT000113382;;
 12 BMCC-CRT000113389; BMCC-CRT000113392; BMCC-CRT000113393; BMCC-
 13 CRT000113394; BMCC-CRT000113395 to 416. Irico further identifies the following evidence
 14 under FRCP 33(d): IRI-CRT-00010133; IRI-CRT-00010449; IRI-CRT-00010468; IRI-CRT-
 15 00026812; IRI-CRT-00030226; IRI-CRT-00030865; IRI-CRT-00031457; IRI-CRT-00004673;
 16 IRI-CRT-00008191; IRI-CRT-00008248; IRI-CRT-00008664; IRI-CRT-00018191; IRI-CRT-
 17 00024165; IRI-CRT-00024204; IRI-CRT-00024613.

18 Irico further contends that Irico's pricing-related conduct was compelled by the Chinese
 19 government and based on duly enacted laws and/or regulations of the People's Republic of China.
 20 Irico relies on the following evidence to support this contention:

- 21 • The State Planning Commission and the State Economic and Trade Commission
 22 issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced
 23 Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the
 24 Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF
 25 No. 5229-02; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 26 • Notice of the State Planning Commission on Issuing the "Measures for the
 27 Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial
 28 Implementation)" – effective as of March 1, 1999. *See*

1 https://law.lawtime.cn/d448076453170.html; Expert Report of Donald Clarke at ¶¶
 2 6-7, March 16, 2022.

- 3 • Notice of the State Planning Commission and the Ministry of Information Industry
 4 on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and
 5 Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex.
 6 B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 7 Default, ECF No. 5229-03; Expert Report of Donald Clarke at ¶¶ 6-7, March 16,
 8 2022.
- 9 • Notice on submitting cost information of color TV and color tube industry issued
 10 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
 11 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05;
 12 Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 13 • Notice on the issuance of the average production cost of some types of color
 14 picture tubes and color TV industries issued by the Ministry of Information
 15 Industry on April 2, 1999. *See* IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to
 16 the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default,
 17 ECF No. 5229-04; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 18 • Notice on the issuance of the average production cost of certain types of color TV
 19 industries issued by the Ministry of Information Industry on August 25, 2000. *See*
 20 Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 21 Default, ECF No. 5229-06; Expert Report of Donald Clarke at ¶¶ 6-7, March 16,
 22 2022.
- 23 • Notice on the issuance of the average production cost of some industries of color
 24 picture tubes issued by the Ministry of Information Industry on September 14,
 25 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to
 26 Set Aside Default, ECF No. 5229-07; Expert Report of Donald Clarke at ¶¶ 6-7,
 27 March 16, 2022.

28 Irico identifies the following employees as having knowledge regarding this Interrogatory:

1 Wang Zhaojie and Yan Yunlong.

2 **INTERROGATORY RESPONSE AS TO IRECO'S TENTH DEFENSE**

3 Plaintiffs' claims and claims of any putative class members are barred, in whole or in part,
 4 because any acts or practices of [Group or Display] that are the subject of the Complaint were
 5 cost justified or otherwise economically justified and resulted from a good faith effort to meet
 6 competition or market conditions.

7 **RESPONSE**

8 Irico further objects that this Interrogatory is premature given that no Irico witnesses have
 9 been deposed relating to merits issues and expert analysis and disclosures have not yet begun. *See*
 10 *Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011). Irico
 11 also objects to this interrogatory on the ground that it calls for a legal argument or legal
 12 conclusion.

13 Subject to and without waiving the objections stated above, Irico contends that its acts or
 14 practices were cost justified or otherwise economically justified, and resulted from a good faith
 15 effort to meet competition or market conditions. In addition, Irico asserts no evidence has been
 16 brought in the above captioned matter that indicates any action or practice of Irico was not cost
 17 justified, otherwise economically justified, or did not result from a good faith effort to meet
 18 competition or market conditions. Pursuant to Rule 33(d) of the Federal Rules of Civil Procedure,
 19 Irico relies on the following evidence to support its contention that at all times its acts or practices
 20 were cost justified or otherwise economically justified, and resulted from a good faith effort to
 21 meet competition or market conditions: Reply Brief of Irico In Support of Motion to Set Aside
 22 Default at 10-11, ECF No. 5229; Exs. A – F to the Declaration of Stuart C. Plunkett in Support of
 23 Motion to Set Aside Default, ECF Nos. 5229-02 through -07; IRI-CRT-00010133; IRI-CRT-
 24 00010449; IRI-CRT-00010468; IRI-CRT-00026812; IRI-CRT-00030226; IRI-CRT-00030865;
 25 IRI-CRT-00031457; BMCC-CRT000002761; BMCC-CRT000002762; CHU00029175E;
 26 CHU00029179E; CHU00029259E; CHU00030067E; CHU00030777E; CHU00030941E;
 27 CHU00030973E; CHU00031018E; CHU00031032; CHU00031044E; and, CHU00031070E.

1 Irico identifies the following employees as having knowledge regarding this Interrogatory: Wang
 2 Zhaojie and Su Xiaohua.

3 **SUPPLEMENTAL RESPONSE**

4 Irico further objects that this Interrogatory is premature given that no Irico witnesses have
 5 been deposed relating to merits issues. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL
 6 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it
 7 calls for a legal argument or legal conclusion. Irico does not intend, and its Response should not
 8 be construed as, an agreement or acquiescence as to the admissibility of any document or fact
 9 contained in or implied by Irico's Response.

10 Subject to and without waiving the objections stated above, Irico contends that its acts or
 11 practices were cost justified or otherwise economically justified, and resulted from a good faith
 12 effort to meet competition or market conditions. In addition, Irico asserts no evidence has been
 13 brought in the above captioned matter that indicates any action or practice of Irico was not cost
 14 justified, otherwise economically justified, or did not result from a good faith effort to meet
 15 competition or market conditions. Subject to and without waiving the foregoing objections, Irico
 16 identifies the following evidence: Expert Report of Robert D. Willig at ¶ 8, December 17, 2012;
 17 Expert Report of Robert D. Willig at ¶¶ 57-61, August 5, 2014; Expert Report of Margaret E.
 18 Guerin-Calvert at ¶¶ 90-98, August 5, 2014; Expert Report of Prof. Dennis W. Carlton at ¶¶ 64,
 19 68, August 5, 2014; Wang Dep. Tr. (Mar. 8, 2019), at 43:5-15, 57:8-10, 64:14-21, 75:7-11; Reply
 20 Brief of Irico In Support of Motion to Set Aside Default at 10-11, ECF No. 5229; Exs. A – F to
 21 the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF Nos. 5229-
 22 02 through -07; Irico Response to Plaintiffs' Conspiracy Proffer to Special Master at 2-8, (April
 23 11, 2022); BMCC-CRT000113367; BMCC-CRT000113368; BMCC-CRT000113372; BMCC-
 24 CRT000113374; BMCC-CRT000113378; BMCC-CRT000113379; BMCC-CRT000113380;
 25 BMCC-CRT000113381; BMCC-CRT000113382; BMCC-CRT000113389; BMCC-
 26 CRT000113392; BMCC-CRT000113393; BMCC-CRT000113394; BMCC-CRT000113395 to
 27 416. Irico further identifies the following evidence under FRCP 33(d): IRI-CRT-00010133; IRI-
 28 CRT-00010449; IRI-CRT-00010468; IRI-CRT-00026812; IRI-CRT-00030226; IRI-CRT-

1 00030865; IRI-CRT-00031457; IRI-CRT-00004673; IRI-CRT-00008191; IRI-CRT-00008248;
 2 IRI-CRT-00008664; IRI-CRT-00018191; IRI-CRT-00024165; IRI-CRT-00024204; IRI-CRT-
 3 00024613.

4 Irico further contends that Irico's pricing-related conduct was compelled by the Chinese
 5 government and based on duly enacted laws and/or regulations of the People's Republic of China.
 6 Irico relies on the following evidence to support this contention:

- 7 • The State Planning Commission and the State Economic and Trade Commission
 8 issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced
 9 Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the
 10 Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF
 11 No. 5229-02; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 12 • Notice of the State Planning Commission on Issuing the "Measures for the
 13 Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial
 14 Implementation)" – effective as of March 1, 1999. *See*
 15 <https://law.lawtime.cn/d448076453170.html>; Expert Report of Donald Clarke at ¶¶
 16 6-7, March 16, 2022.
- 17 • Notice of the State Planning Commission and the Ministry of Information Industry
 18 on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and
 19 Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex.
 20 B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 21 Default, ECF No. 5229-03; Expert Report of Donald Clarke at ¶¶ 6-7, March 16,
 22 2022.
- 23 • Notice on submitting cost information of color TV and color tube industry issued
 24 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
 25 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05;
 26 Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 27 • Notice on the issuance of the average production cost of some types of color
 28 picture tubes and color TV industries issued by the Ministry of Information

Industry on April 2, 1999. See IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-04; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.

- Notice on the issuance of the average production cost of certain types of color TV industries issued by the Ministry of Information Industry on August 25, 2000. *See* Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-06; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- Notice on the issuance of the average production cost of some industries of color picture tubes issued by the Ministry of Information Industry on September 14, 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-07; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.

Irico identifies the following employees as having knowledge regarding this Interrogatory:
Wang Zhaojie and Yan Yunlong.

INTERROGATORY RESPONSE AS TO IRICO'S ELEVENTH DEFENSE

Plaintiffs' claims are barred, in whole or in part, because the alleged conduct of [Group or Display] that is the subject of the Complaint was caused by, due to, based upon, or in response to directives, laws, regulations, policies, and/or acts of governments, governmental agencies and entities, and/or regulatory agencies, and such is non-actionable or privileged.

RESPONSE

Irico further objects that this Interrogatory is premature given that no Irico witnesses have been deposed relating to merits issues and expert analysis and disclosures have not yet begun. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it calls for a legal argument or legal conclusion.

Subject to and without waiving the objections stated above, Irico contends that Irico's pricing-related conduct was compelled by the Chinese government and based on duly enacted

1 laws and/or regulations of the People's Republic of China. Irico relies on the following evidence
2 to support this contention:

- 3 • The State Planning Commission and the State Economic and Trade Commission
4 issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced
5 Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the
6 Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF
7 No. 5229-02.
- 8 • Notice of the State Planning Commission on Issuing the "Measures for the
9 Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial
10 Implementation)" – effective as of March 1, 1999. *See*
11 <https://law.lawtime.cn/d448076453170.html..>
- 12 • Notice of the State Planning Commission and the Ministry of Information Industry
13 on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and
14 Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex.
15 B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
16 Default, ECF No. 5229-03.
- 17 • Notice on submitting cost information of color TV and color tube industry issued
18 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
19 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05.
- 20 • Notice on the issuance of the average production cost of some types of color
21 picture tubes and color TV industries issued by the Ministry of Information
22 Industry on April 2, 1999. *See* IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to
23 the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default,
24 ECF No. 5229-04.
- 25 • Notice on the issuance of the average production cost of certain types of color TV
26 industries issued by the Ministry of Information Industry on August 25, 2000. *See*
27 Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
28 Default, ECF No. 5229-06.

- Notice on the issuance of the average production cost of some industries of color picture tubes issued by the Ministry of Information Industry on September 14, 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-07.

Irico identifies the following employees as having knowledge regarding this Interrogatory: Wang Zhaojie and Su Xiaohua.

SUPPLEMENTAL RESPONSE

Irico further objects that this Interrogatory is premature given that no Irico witnesses have been deposed relating to merits issues. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it calls for a legal argument or legal conclusion. Irico does not intend, and its Response should not be construed as, an agreement or acquiescence as to the admissibility of any document or fact contained in or implied by Irico's Response.

Subject to and without waiving the objections stated above, Irico contends that Irico's pricing-related conduct was compelled by the Chinese government and based on duly enacted laws and/or regulations of the People's Republic of China. Irico relies on the following evidence to support this contention:

- The State Planning Commission and the State Economic and Trade Commission issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-02; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- Notice of the State Planning Commission on Issuing the "Measures for the Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial Implementation)" – effective as of March 1, 1999. *See* <https://law.lawtime.cn/d448076453170.html>; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.

- 1 • Notice of the State Planning Commission and the Ministry of Information Industry
 2 on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and
 3 Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex.
 4 B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 5 Default, ECF No. 5229-03; Expert Report of Donald Clarke at ¶¶ 6-7, March 16,
 6 2022.
- 7 • Notice on submitting cost information of color TV and color tube industry issued
 8 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
 9 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05;
 10 Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 11 • Notice on the issuance of the average production cost of some types of color
 12 picture tubes and color TV industries issued by the Ministry of Information
 13 Industry on April 2, 1999. *See* IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to
 14 the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default,
 15 ECF No. 5229-04; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 16 • Notice on the issuance of the average production cost of certain types of color TV
 17 industries issued by the Ministry of Information Industry on August 25, 2000. *See*
 18 Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 19 Default, ECF No. 5229-06; Expert Report of Donald Clarke at ¶¶ 6-7, March 16,
 20 2022.
- 21 • Notice on the issuance of the average production cost of some industries of color
 22 picture tubes issued by the Ministry of Information Industry on September 14,
 23 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to
 24 Set Aside Default, ECF No. 5229-07; Expert Report of Donald Clarke at ¶¶ 6-7,
 25 March 16, 2022.

26 Irico identifies the following employees as having knowledge regarding this Interrogatory:
 27 Wang Zhaojie and Yan Yunlong.

1 **INTERROGATORY RESPONSE AS TO IRICO'S TWELFTH DEFENSE**

2 Plaintiffs' claims are barred, in whole or in part, because the alleged conduct of [Group or
 3 Display] that is the subject of the Complaint was caused by or in response to duly enacted laws
 4 and/or regulations of the People's Republic of China and is therefore protected under the foreign
 5 sovereign compulsion doctrine, the act of state doctrine, and international comity.

6 **RESPONSE**

7 Irico further objects that this Interrogatory is premature given that no Irico witnesses have
 8 been deposed relating to merits issues and expert analysis and disclosures have not yet begun. *See*
 9 *Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011). Irico
 10 also objects to this interrogatory on the ground that it calls for a legal argument or legal
 11 conclusion.

12 Subject to and without waiving the objections stated above, Irico contends that Irico's
 13 pricing-related conduct was compelled by the Chinese government and based on duly enacted
 14 laws and/or regulations of the People's Republic of China. Irico relies on the following evidence
 15 to support this contention:

- 16 • The State Planning Commission and the State Economic and Trade Commission
 17 issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced
 18 Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the
 19 Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF
 20 No. 5229-02.
- 21 • Notice of the State Planning Commission on Issuing the "Measures for the
 22 Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial
 23 Implementation)" – effective as of March 1, 1999. *See*
 24 <https://law.lawtime.cn/d448076453170.html>.
- 25 • Notice of the State Planning Commission and the Ministry of Information Industry
 26 on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and
 27 Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex.

1 B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 2 Default, ECF No. 5229-03.

3

- 4 • Notice on submitting cost information of color TV and color tube industry issued
 5 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
 6 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05.
- 7 • Notice on the issuance of the average production cost of some types of color
 8 picture tubes and color TV industries issued by the Ministry of Information
 9 Industry on April 2, 1999. *See* IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to
 10 the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default,
 11 ECF No. 5229-04.
- 12 • Notice on the issuance of the average production cost of certain types of color TV
 13 industries issued by the Ministry of Information Industry on August 25, 2000. *See*
 14 Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 15 Default, ECF No. 5229-06.
- 16 • Notice on the issuance of the average production cost of some industries of color
 17 picture tubes issued by the Ministry of Information Industry on September 14,
 18 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to
 19 Set Aside Default, ECF No. 5229-07.

20 Irico identifies the following employees as having knowledge regarding this Interrogatory: Wang
 21 Zhaojie and Su Xiaohua.

22 **SUPPLEMENTAL RESPONSE**

23 Irico further objects that this Interrogatory is premature given that no Irico witnesses have
 24 been deposed relating to merits issues. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL
 25 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it
 26 calls for a legal argument or legal conclusion. Irico does not intend, and its Response should not
 27 be construed as, an agreement or acquiescence as to the admissibility of any document or fact
 28 contained in or implied by Irico's Response.

1 Subject to and without waiving the objections stated above, Irico contends that Irico's
 2 pricing-related conduct was compelled by the Chinese government and based on duly enacted
 3 laws and/or regulations of the People's Republic of China. Irico relies on the following evidence
 4 to support this contention:

- 5 • The State Planning Commission and the State Economic and Trade Commission
 6 issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced
 7 Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the
 8 Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF
 9 No. 5229-02; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 10 • Notice of the State Planning Commission on Issuing the "Measures for the
 11 Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial
 12 Implementation)" – effective as of March 1, 1999. *See*
 13 <https://law.lawtime.cn/d448076453170.html>; Expert Report of Donald Clarke at ¶¶
 14 6-7, March 16, 2022.
- 15 • Notice of the State Planning Commission and the Ministry of Information Industry
 16 on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and
 17 Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex.
 18 B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 19 Default, ECF No. 5229-03; Expert Report of Donald Clarke at ¶¶ 6-7, March 16,
 20 2022.
- 21 • Notice on submitting cost information of color TV and color tube industry issued
 22 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
 23 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05;
 24 Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 25 • Notice on the issuance of the average production cost of some types of color
 26 picture tubes and color TV industries issued by the Ministry of Information
 27 Industry on April 2, 1999. *See* IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to

the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-04; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.

- Notice on the issuance of the average production cost of certain types of color TV industries issued by the Ministry of Information Industry on August 25, 2000. *See* Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-06; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- Notice on the issuance of the average production cost of some industries of color picture tubes issued by the Ministry of Information Industry on September 14, 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-07; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.

Irico identifies the following employees as having knowledge regarding this Interrogatory:
Wang Zhaojie and Yan Yunlong.

INTERROGATORY RESPONSE AS TO IRICO'S FOURTEENTH DEFENSE

Plaintiffs' claims and claims of any putative class members are barred, in whole or in part, because any alleged injuries and/or damages were not legally or proximately caused by any acts or omissions of [Group or Display] and/or were caused, if at all, solely and proximately by the conduct of third parties including, without limitations, the prior, intervening or superseding conduct of such third parties.

RESPONSE

Irico further objects that this Interrogatory is premature given that no Irico witnesses have been deposed relating to merits issues and expert analysis and disclosures have not yet begun. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it calls for a legal argument or legal conclusion.

Subject to and without waiving the objections stated above, Irico contends that Irico's pricing-related conduct was compelled by the Chinese government and based on duly enacted

1 laws and/or regulations of the People's Republic of China. Irico relies on the following evidence
 2 to support this contention:

- 3 • The State Planning Commission and the State Economic and Trade Commission
 4 issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced
 5 Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the
 6 Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF
 7 No. 5229-02.
- 8 • Notice of the State Planning Commission on Issuing the "Measures for the
 9 Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial
 10 Implementation)" – effective as of March 1, 1999. *See*
 11 <https://law.lawtime.cn/d448076453170.html>.
- 12 • Notice of the State Planning Commission and the Ministry of Information Industry
 13 on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and
 14 Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex.
 15 B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 16 Default, ECF No. 5229-03.
- 17 • Notice on submitting cost information of color TV and color tube industry issued
 18 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
 19 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05.
- 20 • Notice on the issuance of the average production cost of some types of color
 21 picture tubes and color TV industries issued by the Ministry of Information
 22 Industry on April 2, 1999. *See* IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to
 23 the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default,
 24 ECF No. 5229-04.
- 25 • Notice on the issuance of the average production cost of certain types of color TV
 26 industries issued by the Ministry of Information Industry on August 25, 2000. *See*
 27 Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 28 Default, ECF No. 5229-06.

- Notice on the issuance of the average production cost of some industries of color picture tubes issued by the Ministry of Information Industry on September 14, 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-07.

Irico identifies the following employees as having knowledge regarding this Interrogatory: Wang Zhaojie and Su Xiaohua.

SUPPLEMENTAL RESPONSE

Irico further objects that this Interrogatory is premature given that no Irico witnesses have been deposed relating to merits issues. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it calls for a legal argument or legal conclusion. Irico does not intend, and its Response should not be construed as, an agreement or acquiescence as to the admissibility of any document or fact contained in or implied by Irico's Response.

Subject to and without waiving the objections stated above, Irico contends that Irico's pricing-related conduct was compelled by the Chinese government and based on duly enacted laws and/or regulations of the People's Republic of China. Irico relies on the following evidence to support this contention:

- The State Planning Commission and the State Economic and Trade Commission issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-02; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- Notice of the State Planning Commission on Issuing the "Measures for the Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial Implementation)" – effective as of March 1, 1999. *See* <https://law.lawtime.cn/d448076453170.html>; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.

- 1 • Notice of the State Planning Commission and the Ministry of Information Industry
2 on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and
3 Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex.
4 B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
5 Default, ECF No. 5229-03; Expert Report of Donald Clarke at ¶¶ 6-7, March 16,
6 2022.
- 7 • Notice on submitting cost information of color TV and color tube industry issued
8 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
9 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05;
10 Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 11 • Notice on the issuance of the average production cost of some types of color
12 picture tubes and color TV industries issued by the Ministry of Information
13 Industry on April 2, 1999. *See* IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to
14 the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default,
15 ECF No. 5229-04; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 16 • Notice on the issuance of the average production cost of certain types of color TV
17 industries issued by the Ministry of Information Industry on August 25, 2000. *See*
18 Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
19 Default, ECF No. 5229-06; Expert Report of Donald Clarke at ¶¶ 6-7, March 16,
20 2022.
- 21 • Notice on the issuance of the average production cost of some industries of color
22 picture tubes issued by the Ministry of Information Industry on September 14,
23 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to
24 Set Aside Default, ECF No. 5229-07; Expert Report of Donald Clarke at ¶¶ 6-7,
25 March 16, 2022.

26 Irico identifies the following employees as having knowledge regarding this Interrogatory:
27 Wang Zhaojie and Yan Yunlong.

INTERROGATORY RESPONSE AS TO IRICO'S SEVENTEENTH DEFENSE

Plaintiffs' claims are barred, in whole or in part, to the extent they are based on alleged acts, conduct or statements that are specifically permitted by law.

RESPONSE

Irico further objects that this Interrogatory is premature given that no Irico witnesses have been deposed relating to merits issues and expert analysis and disclosures have not yet begun. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it calls for a legal argument or legal conclusion.

Subject to and without waiving the objections stated above, Irico contends that Irico's pricing-related conduct was compelled by the Chinese government and based on duly enacted laws and/or regulations of the People's Republic of China. Irico relies on the following evidence to support this contention:

- The State Planning Commission and the State Economic and Trade Commission issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-02.
- Notice of the State Planning Commission on Issuing the "Measures for the Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial Implementation)" – effective as of March 1, 1999. *See* <https://law.lawtime.cn/d448076453170.html>.
- Notice of the State Planning Commission and the Ministry of Information Industry on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex. B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-03.

- 1 • Notice on submitting cost information of color TV and color tube industry issued
- 2 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
- 3 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05.
- 4 • Notice on the issuance of the average production cost of some types of color
- 5 picture tubes and color TV industries issued by the Ministry of Information
- 6 Industry on April 2, 1999. *See* IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to
- 7 the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default,
- 8 ECF No. 5229-04.
- 9 • Notice on the issuance of the average production cost of certain types of color TV
- 10 industries issued by the Ministry of Information Industry on August 25, 2000. *See*
- 11 Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
- 12 Default, ECF No. 5229-06.
- 13 • Notice on the issuance of the average production cost of some industries of color
- 14 picture tubes issued by the Ministry of Information Industry on September 14,
- 15 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to
- 16 Set Aside Default, ECF No. 5229-07.

17 Irico identifies the following employees as having knowledge regarding this Interrogatory: Wang
 18 Zhaojie and Su Xiaohua.

19 **SUPPLEMENTAL RESPONSE**

20 Irico further objects that this Interrogatory is premature given that no Irico witnesses have
 21 been deposed relating to merits issues. *See Young v. Regis Corp.*, No. C 10-02634 SI, 2011 WL
 22 9558055 (N.D. Cal. May 19, 2011). Irico also objects to this interrogatory on the ground that it
 23 calls for a legal argument or legal conclusion. Irico does not intend, and its Response should not
 24 be construed as, an agreement or acquiescence as to the admissibility of any document or fact
 25 contained in or implied by Irico's Response.

26 Subject to and without waiving the objections stated above, Irico contends that Irico's
 27 pricing-related conduct was compelled by the Chinese government and based on duly enacted
 28

1 laws and/or regulations of the People's Republic of China. Irico relies on the following evidence
 2 to support this contention:

- 3 • The State Planning Commission and the State Economic and Trade Commission
 4 issued the "Regulations on Preventing Unfair Price Behavior of Low-Priced
 5 Dumping of Industrial Products" – effective as of Nov. 25, 1998. *See* Ex. A to the
 6 Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF
 7 No. 5229-02; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 8 • Notice of the State Planning Commission on Issuing the "Measures for the
 9 Determination of the Cost of Dumping Industrial Products at Low Prices (for Trial
 10 Implementation)" – effective as of March 1, 1999. *See*
 11 <https://law.lawtime.cn/d448076453170.html>; Expert Report of Donald Clarke at ¶¶
 12 6-7, March 16, 2022.
- 13 • Notice of the State Planning Commission and the Ministry of Information Industry
 14 on the Trial Measures to Stop Unfair Price Competition of Color Picture Tubes and
 15 Color TVs – effective as of April 1, 1999. *See* IRI-CRT-00031457 at 1460-64; Ex.
 16 B to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
 17 Default, ECF No. 5229-03; Expert Report of Donald Clarke at ¶¶ 6-7, March 16,
 18 2022.
- 19 • Notice on submitting cost information of color TV and color tube industry issued
 20 by the Ministry of Information Industry in 1999. *See* Ex. D to the Declaration of
 21 Stuart C. Plunkett in Support of Motion to Set Aside Default, ECF No. 5229-05;
 22 Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.
- 23 • Notice on the issuance of the average production cost of some types of color
 24 picture tubes and color TV industries issued by the Ministry of Information
 25 Industry on April 2, 1999. *See* IRI-CRT-00031457 at 1459-60, 1466-67; Ex. C to
 26 the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside Default,
 27 ECF No. 5229-04; Expert Report of Donald Clarke at ¶¶ 6-7, March 16, 2022.

- 1 • Notice on the issuance of the average production cost of certain types of color TV
2 industries issued by the Ministry of Information Industry on August 25, 2000. *See*
3 Ex. E to the Declaration of Stuart C. Plunkett in Support of Motion to Set Aside
4 Default, ECF No. 5229-06; Expert Report of Donald Clarke at ¶¶ 6-7, March 16,
5 2022.
- 6 • Notice on the issuance of the average production cost of some industries of color
7 picture tubes issued by the Ministry of Information Industry on September 14,
8 2000. *See* Ex. F to the Declaration of Stuart C. Plunkett in Support of Motion to
9 Set Aside Default, ECF No. 5229-07; Expert Report of Donald Clarke at ¶¶ 6-7,
10 March 16, 2022.

11 Irico identifies the following employees as having knowledge regarding this Interrogatory:
12 Wang Zhaojie and Yan Yunlong.

13 **INTERROGATORY NO. 8**

14 If Your response to any of the IPPs' Requests for Admissions served concurrently
15 herewith is anything other than an unqualified admission:

- 16 a. State all facts supporting Your denial;
- 17 b. Identify each Person who provided facts relating to Your response;
- 18 c. Identify each Document You consulted to formulate Your response

19 **RESPONSE TO INTERROGATORY NO. 8**

20 Irico reasserts and incorporates each of the General Objections and Objections to the
21 Definitions and Instructions set forth above. Irico also reasserts and incorporates each of the
22 General Objections, Objections to the Definitions and Instructions, and objections in each of its
23 Specific Responses to Requests for Admission as set forth in Irico Defendants' Objections and
24 Responses to Indirect Purchaser Plaintiffs' First Set of Requests for Admission, served herewith.
25 Irico further objects to this interrogatory as overbroad and unduly burdensome, as Plaintiffs has
26 not demonstrated how the benefit of such information outweighs the significant burden to Irico of
27 responding to each denial of the 119 RFAs (including subparts) propounded by Plaintiffs.

28 Subject to and without waiving the foregoing objections, Irico responds as follows:

1 **RESPONSE RE: REQUEST FOR ADMISSION NO. 16**

2 Irico further objects to this Interrogatory on the grounds that it is overbroad, unduly
 3 burdensome, not reasonably calculated to lead to the discovery of admissible evidence, and seeks
 4 information that is maintained by and equally available to Plaintiffs or stated in publicly available
 5 documents. Irico also objects to this Interrogatory on the grounds that it calls for a legal argument
 6 or legal conclusion. Irico further objects to the use of the terms “unqualified” and “supporting”
 7 because they are vague and ambiguous, rendering this Interrogatory overbroad, unduly
 8 burdensome, and not reasonably calculated to lead to the discovery of admissible evidence. Irico
 9 also objects to this Interrogatory to the extent it improperly tries to shift the evidentiary burden
 10 that Plaintiffs alone carry to Irico. Irico further objects to this Interrogatory as it requests Irico to
 11 provide facts and evidence of events that did not take place.

12 Subject to and without waiving its foregoing objections, Irico refers Plaintiffs to the
 13 following evidence under FRCP 33(d): Irico Defendants’ Sixth Supplemental Objections and
 14 Responses to Direct Purchaser Plaintiffs’ First Set of Interrogatories, January 7, 2022; Irico
 15 Defendants’ Third Supplemental Objections and Responses to Indirect Purchaser Plaintiffs’ First
 16 Set of Interrogatories, January 7, 2022; Irico Defendants’ Supplemental Objections and
 17 Responses to Indirect Purchaser Plaintiffs’ Third Set of Interrogatories to Irico Group Corporation
 18 and Irico Display Devices Co., Ltd., January 21, 2022; Rule 30(b)(6) Deposition of Irico Group
 19 Corp. and Irico Display Devices Co., Ltd., March 6-8, 2019.

20 Irico identifies the following persons with knowledge regarding this Interrogatory: Wang
 21 Zhaojie and Su Xiaohua.

22 **SUPPLEMENTAL RESPONSE RE: REQUEST FOR ADMISSION NO. 16**

23 Irico further objects to this Interrogatory on the grounds that it is overbroad, unduly
 24 burdensome, not reasonably calculated to lead to the discovery of admissible evidence, and seeks
 25 information that is maintained by and equally available to Plaintiffs or stated in publicly available
 26 documents. Irico also objects to this Interrogatory on the grounds that it calls for a legal argument
 27 or legal conclusion. Irico further objects to the use of the terms “unqualified” and “supporting”
 28 because they are vague and ambiguous, rendering this Interrogatory overbroad, unduly

1 burdensome, and not reasonably calculated to lead to the discovery of admissible evidence. Irico
 2 also objects to this Interrogatory to the extent it improperly tries to shift the evidentiary burden that
 3 Plaintiffs alone carry to Irico. Irico further objects to this Interrogatory as it requests Irico to provide
 4 facts and evidence of events that did not take place. Irico does not intend, and its Response should
 5 not be construed as, an agreement or acquiescence as to the admissibility of any document or fact
 6 contained in or implied by Irico's Response.

7 Subject to and without waiving the objections stated above, and despite the Interrogatory's
 8 demand for proof of facts and evidence of events that did not take place, Irico asserts no evidence
 9 has been brought in the above captioned matter that indicates that Irico manufactured, sold, or
 10 distributed CRTs in the United States during the class period. In particular, Irico has produced
 11 summaries of its sales records that demonstrate that Irico did not sell CRTs to the United States.
 12 *See* Summary of Invoice Records produced by Irico on June 2, 2022. Irico also identifies the
 13 following evidence: Am. Wang. Decl. in Support of FSIA MTD, ¶¶ 12, 16-17 (March 19, 2019);
 14 Direct Purchaser Plaintiffs' Supplemental Objections and Responses to Defendants Irico Group
 15 Corp. and Irico Display Devices Co., Ltd.'s First Set of Interrogatories to Direct Purchaser
 16 Plaintiffs, July 14, 2021; Irico Defendants' Sixth Supplemental Objections and Responses to Direct
 17 Purchaser Plaintiffs' First Set of Interrogatories, at 17-18, 20-21, January 7, 2022; Irico Defendants'
 18 Third Supplemental Objections and Responses to Indirect Purchaser Plaintiffs' First Set of
 19 Interrogatories, January 7, 2022; Irico Defendants' Supplemental Objections and Responses to
 20 Indirect Purchaser Plaintiffs' Third Set of Interrogatories to Irico Group Corporation and Irico
 21 Display Devices Co., Ltd., at 11-13, January 21, 2022; Wang Dep. Tr. (March 6, 2019) 94:3-97:1;
 22 107:6-14; 109:2-12.

23 Irico identifies the following persons with knowledge regarding this Interrogatory: Wang
 24 Zhaojie and Yan Yunlong.

25 ///

26 ///

27 ///

28 ///

1 Dated: September 1, 2022

BAKER BOTTS L.L.P.

2
3 /s/ John M. Taladay

4 John M. Taladay (*pro hac vice*)
5 Evan J. Werbel (*pro hac vice*)
6 Thomas E. Carter (*pro hac vice*)
7 Andrew L. Lucarelli (*pro hac vice*)
8 700 K Street, N.W.
9 Washington, D.C. 20001
10 (202)-639-7700
11 (202)-639-7890 (fax)
12 Email: john.taladay@bakerbotts.com
13 evan.werbel@bakerbotts.com
14 tom.carter@bakerbotts.com
15 drew.lucarelli@bakerbotts.com

16
17
18
19
20
21
22
23
24
25
26
27
28

29 *Attorneys for Defendants*
30 *IRICO GROUP CORP. and*
31 *IRICO DISPLAY DEVICES CO., LTD.*